

DiNello Alessandro  
Form 4  
July 02, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DiNello Alessandro

2. Issuer Name and Ticker or Trading Symbol  
FLAGSTAR BANCORP INC  
[(NYSE:FBC)]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
06/28/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
CEO and President

C/O FLAGSTAR BANCORP, INC., 5151 CORPORATE DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

TROY, MI 48098

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)     | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |                       |   |                |
|-------------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-----------------------|---|----------------|
|                                     |                                      |  |                                | (A) or (D)  | Price   |  |                                   |                       |   |                |
|                                     |                                      |  |                                | Code  | V   | Amount   |                                   |                       |   |                |
| Flagstar Bancorp, Inc. Common Stock | 06/19/2018                           |  | G                              | V   | 175   | D  | \$ 0                              | 190,359               | D |                |
| Flagstar Bancorp, Inc. Common Stock |                                      |  |                                |   |   |  |                                   | 14,549 <sup>(1)</sup> | I | By 401(k) Plan |
|                                     |                                      |  |                                |   |   |  |                                   | 1,274                 | I | By IRA         |

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|                                     |  |  |  |                       |   |  |                          |
|-------------------------------------|--|--|--|-----------------------|---|--|--------------------------|
| Flagstar Bancorp, Inc. Common Stock |  |  |  |                       |   |  |                          |
| Flagstar Bancorp, Inc. Common Stock |  |  |  | 206                   | I |  | By Wife's Trust          |
| Flagstar Bancorp, Inc. Common Stock |  |  |  | 34,500 <sup>(2)</sup> | I |  | By Trust fbo Son AD      |
| Flagstar Bancorp, Inc. Common Stock |  |  |  | 25,000 <sup>(2)</sup> | I |  | By Trust fbo Daughter CG |
| Flagstar Bancorp, Inc. Common Stock |  |  |  | 2,060 <sup>(3)</sup>  | I |  | By Trust fbo gchild AD   |
| Flagstar Bancorp, Inc. Common Stock |  |  |  | 2,060 <sup>(3)</sup>  | I |  | By Trust fbo gchild DD   |
| Flagstar Bancorp, Inc. Common Stock |  |  |  | 1,000 <sup>(3)</sup>  | I |  | By Trust fbo gchild LG   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security (Instr. 3 and 4) |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|

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| (Instr. 3)                        | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Code | V | (A) | (D) | Date Exercisable          | Expiration Date           | Title                               |
|-----------------------------------|------------------------------|------------------|------------|--|------|---|-----|-----|---------------------------|---------------------------|-------------------------------------|
| Restricted Stock Unit (LSR062818) | (4)                          | 06/28/2018       | A          | 1,441  |      |   |     |     | 06/28/2019 <sup>(5)</sup> | 06/28/2021 <sup>(5)</sup> | Flagstar Bancorp, Inc. Common Stock |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                   |       |
|--|---------------|-----------|-------------------|-------|
|  | Director      | 10% Owner | Officer           | Other |
| DiNello Alessandro<br>C/O FLAGSTAR BANCORP, INC.<br>5151 CORPORATE DRIVE<br>TROY, MI 48098 | X             |           | CEO and President |       |

## Signatures

/s/ Jan M Klym, By Power of Attorney of Mr. DiNello 07/02/2018

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Because the stock fund component of the 401(k) Plan is accounted for in unit accounting, the number of share equivalents varies based on the closing price of Flagstar Bancorp, Inc. stock on the applicable measurement date.  
 These shares are held in a trust for the benefit of the reporting person's child. The reporting person's spouse is a co-trustee of the trust.
- (2) reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.  
 These shares are held in a trust for the benefit of the reporting person's grandchild. The reporting person's spouse is a co-trustee of the trust.
- (3) The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- (4) Each restricted stock unit represents a contingent right to receive one share of Flagstar Bancorp, Inc. Common Stock.
- (5) These restricted stock units vest in equal amounts on the anniversary of the grant date over a period of three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.