

LUMINEX CORP
Form 4
August 16, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DEHNE TIMOTHY R

(Last) (First) (Middle)
12212 TECHNOLOGY BOULEVARD
(Street)

AUSTIN, TX 78727

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
LUMINEX CORP [LMNX]

3. Date of Earliest Transaction
(Month/Day/Year)
08/14/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP, Systems R&D

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	06/28/2013		J	V 330 A \$ 14.552 (1)	47,718	D	
Common Stock	08/09/2013		J	V 27,277 (2) D \$ 0	20,441	D	
Common Stock	08/14/2013		M	1,793 A \$ 17.98	22,234	D	
Common Stock	08/14/2013		S	1,793 D \$ 21.2135 (3)	20,441	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right To Buy)	\$ 18.26	08/09/2013		J	V 2,536 ⁽⁴⁾	03/11/2012 03/11/2021	Common Stock	2,536	
Stock Option (Right To Buy)	\$ 22.71	08/09/2013		J	V 6,874 ⁽⁴⁾	03/13/2013 03/13/2022	Common Stock	6,874	
Stock Option (Right To Buy)	\$ 17.24	08/09/2013		J	V 5,973 ⁽⁴⁾	03/08/2014 03/08/2023	Common Stock	5,973	
Stock Option (Right To Buy)	\$ 17.98	08/09/2013		J	V 4,852 ⁽⁴⁾	07/20/2010 11/07/2013	Common Stock	4,852	
Stock Option (Right To Buy)	\$ 17.98	08/14/2013		M	1,793	07/20/2010 ⁽⁵⁾ 11/07/2013	Common Stock	1,793	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DEHNE TIMOTHY R 12212 TECHNOLOGY BOULEVARD AUSTIN, TX 78727			VP, Systems R&D	

Signatures

/S/HARRISS T.CURRIE, AS ATTORNEY-IN-FACT FOR TIMOTHY R.
DEHNE

08/16/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) In accordance with the Luminex Corporation ESPP Plan, these shares were purchased based on 85% of the closing price of the issuer's common stock on January 2, 2013. This ESPP purchase represents a voluntary disclosure on the part of the reporting owner.
- (2) Unvested Restricted Stock Awards in the amount of 27,277 shares were forfeited on 8/9/2013 upon a change of employment status by the reporting owner on that date.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$21.20 to \$21.24 inclusive. Mr. Dehne undertakes to provide to Luminex Corporation, any security holder of Luminex Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- (3) Stock Options were forfeited as a result of the change in employment status of the reporting owner.
- (4) Options vested in five equal increments on anniversary dates of the reporting owner's 7/20/2009 hire date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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