

Edgar Filing: BESTEN C HENRY JR - Form 5

BESTEN C HENRY JR
Form 5
February 14, 2003

OMB APPROVAL

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

Form 3 Holdings Reported

Form 4 Transactions Reported

1. Name and Address of Reporting Person*

| | | |
|--------------------------|---------|----------|
| Besten, Jr. | C. | Henry |
| ----- | ----- | ----- |
| (Last) | (First) | (Middle) |
| CityPlace One, Suite 300 | | |
| ----- | | |
| (Street) | | |
| St. Louis | MO | 63141 |
| ----- | ----- | ----- |
| (City) | (State) | (Zip) |

2. Issuer Name and Ticker or Trading Symbol

Arch Coal, Inc. (ACI)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year

December 31, 2002

5. If Amendment, Date of Original (Month/Year)

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(1) Disposed on various dates in 2002 pursuant to Arch Coal Inc.'s 401(k) plan at prices from \$1

* If the form is filed by more than one reporting person, see instruction 4(b)(v).

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity | 3. Trans- action Date (mm/dd/ yy) | 3A. Deemed Execut- ion Date if any (mm/dd/ yy) | 4. Trans- action Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) Date Expira- tion Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares |
|--|---|--|---|---|---|--|--|
| Phantom Stock 1-for-1 | | (1) | | A | 30 | (2) (2) | Common Stock 30 |

Explanation of Responses:

(1) Acquired on various dates in 2002 pursuant to Arch Coal Inc.'s Deferred Compensation Plan.
 (2) Payable in cash upon termination of the Reporting Person's service as an Officer of Arch Coal

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By: /s/ Janet L. Horgan

February 14, 2003

Janet L. Horgan, Attorney-in-fact

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.