Edgar Filing: IRON MOUNTAIN INC - Form 3

IRON MOUNTAIN INC

Form 3

December 09, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *

MARSON DEBORAH

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement (Month/Day/Year)

3. Issuer Name and Ticker or Trading Symbol

IRON MOUNTAIN INC [IRM]

(Check all applicable)

C/O IRON MOUNTAIN INCORPORATED, ONE

FEDERAL STREET

12/01/2016

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Street)

Director _X__ Officer

(give title below) (specify below)

Exec., VP, Gen. Counsel, Secretary

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

BOSTON. MAÂ 02110

1. Title of Security

(Instr. 4)

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock, par value \$.01 per share

12,981

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date**

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

(Month/Day/Year)

(Instr. 4)

1

Edgar Filing: IRON MOUNTAIN INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common Stock	829	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(3)	(3)	Common Stock	1,116	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(4)	(4)	Common Stock	2,701	\$ <u>(2)</u>	D	Â
Employee Stock Option (Right to Buy)	(5)	03/03/2020	Common Stock	4,783	\$ 20.9	D	Â
Employee Stock Option (Right to Buy)	(6)	03/11/2021	Common Stock	1,906	\$ 22.79	D	Â
Employee Stock Option (Right to Buy)	(7)	02/13/2024	Common Stock	4,381	\$ 24.8	D	Â
Employee Stock Option (Right to Buy)	(8)	02/19/2025	Common Stock	4,636	\$ 38.83	D	Â
Employee Stock Option (Right to Buy)	(9)	03/09/2026	Common Stock	9,554	\$ 31.46	D	Â

Reporting Owners

Reporting Owner Name / Address		Relationships					
<u>.</u>	Director	10% Owner	Officer	Other			
MARSON DEBORAH							
C/O IRON MOUNTAIN INCORPORATED	Â	Â	Exec., VP, Gen. Counsel, Secretary	Â			
ONE FEDERAL STREET			, , , , , , , , , , , , , , , , , , ,				
BOSTON, MA 02110							

Signatures

/s/ Elizabeth Tammaro, under Power of Attorney dated December 7, 2016, from Deborah Marson

12/09/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The restricted stock units ("RSUs"), representing a contingent right to receive a total of 2,474 shares of Common Stock, were granted to the Reporting Person on February 13, 2014 and vest in three substantially equal annual istallments beginning on the first anniversary of the grant date.
- (2) Each RSU represents a contingent right to receive one share of Common Stock.
- (3) The RSUs, representing a contingent right to receive a total of 1,673 shares of Common Stock, were granted to the Reporting Person on February 19, 2015 and vest in three substantially equal annual istallments beginning on the first anniversary of the grant date.

(4)

Reporting Owners 2

Edgar Filing: IRON MOUNTAIN INC - Form 3

The RSUs, representing a contingent right to receive a total of 2,701 shares of Common Stock, were granted to the Reporting Person on March 9, 2016 and vest in three substantially equal annual istallments beginning on the first anniversary of the grant date.

- (5) This option has fully vested.
- (6) This option has fully vested.
- (7) This option has vested with respect to 2,918 shares. The remaining shares vest on February 13, 2017 (or the next business day).
- (8) This option has vested with respect to 1,543 shares. The remaining shares vest in two substantially equal installments on February 19, 2017 and February 19, 2018 (or, in each case as applicable, the next business day).
- (9) This option will vest in three substantially equal installments on March 9, 2017, March 9, 2018 and March 9, 2019 (or, in each case as applicable, the next business day).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.