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SUPERNUS PHARMACEUTICALS INC

Form 4 April 04, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Khattar Jack A.

Symbol **SUPERNUS**

PHARMACEUTICALS INC

[SUPN]

_X__ Director X_ Officer (give title 10% Owner

(Last)

C/O SUPERNUS

(City)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

below)

Other (specify

(Month/Day/Year)

04/03/2017

President, CEO

(Check all applicable)

PHARMACEUTICALS, INC., 1550

(State)

EAST GUDE STREET

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

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X Form filed by One Reporting Person Form filed by More than One Reporting

ROCKVILLE, MD 20850

(Chij)	(State) (E	Table	I - Non-De	rivative S	ecuri	ties Acc	quired, Disposed (of, or Beneficial	ly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3.	4. Securit		\r	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)	(Monul/Day/Teal)	any	Code	onAcquired (A) or Disposed of (D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
				(A)		Reported Transaction(s)			
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	04/03/2017		J	4,250	A	<u>(1)</u>	449,909 (1)	D	
Common Stock	04/03/2017		J	4,250	D	<u>(1)</u>	1,089,750	I	By the KBT Trust
Common Stock							2,250 (2)	I	By son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date		Amou	nt of	Derivative	Γ
Security	or Exercise		any	Code	of	(Month/Day/Y	(ear)	Under	lying	Security	S
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative			Securities (Instr. 3 and 4)		(Instr. 5)	E
	Derivative				Securities						(
	Security				Acquired					F	
					(A) or						F
					Disposed						7
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
						ъ.	Expiration Date	Title	or		
									Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

> > President, CEO

Khattar Jack A.

C/O SUPERNUS PHARMACEUTICALS, INC.

1550 EAST GUDE STREET

ROCKVILLE, MD 20850

Signatures

/s/ Gregory S. Patrick, as 04/04/2017 attorney-in-fact

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

X

- The Reporting Person is filing this Form 4 to reflect the fact that 4,250 shares were transferred from the KBT Trust to the Reporting Person on April 3, 2017 without consideration and are now owned directly.
- The Reporting Person disclaims beneficial ownership of the shares held by his son, who shares the Reporting Person's household, and this (2) report should not be deemed an admission that the Reporting Person is the beneficial owner of his son's shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

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