

Edgar Filing: EMCORE CORP - Form SC 13G/A

EMCORE CORP

Form SC 13G/A

February 14, 2019

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549
Schedule 13G
(Amendment 1)
Under the Securities Exchange Act of 1934

Emcore Corporation
(Name of Issuer)
Common Stock
(Title of Class of Securities)
290846203
(CUSIP Number)
December 31, 2018
(Date of Event Which Requires Filing of this Statement)
Check the appropriate box to designate the rule pursuant to which this
Schedule is filed:

☐ Rule 13d-1(b)
☒ Rule 13d-1(c)
☐ Rule 13d-1(d)

The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that Section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 290846203

1. Names of Reporting Persons
Laurence W. Lytton
2. Check the Appropriate Box if a Member of a Group (See
Not Applicable
3. SEC Use Only
4. Citizenship or Place of Organization
USA

- Number of
Shares Beneficially Owned By
Each Reporting Person With
5. Sole Voting Power 2,486,365
 6. Shared Voting Power 42,659
 7. Sole Dispositive Power 2,486,365
 8. Shared Dispositive Power 42,659

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9. Aggregate Amount Beneficially Owned by Each Reporting Person
2,529,024
10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) ☐

Not Applicable
11. Percent of Class Represented by Amount in Row (9)
9.1% (1)

(1) based on 27,670,466 shares outstanding as of January 31, 2019, as reported in the 10-Q for the period ending December 31, 2018.
12. Type of Reporting Person (See Instructions)

IN

Item 1.

- (a) Name of Issuer Emcore
- (b) Address of Issuer's Principal Executive Offices

2015 W. Chestnut Street, Alhambra, California, 91803

Item 2.

- (a) Name of Person Filing

Laurence W. Lytton
- (b) Address of Principal Business Office, or if none, Residence

467 CPW NY, NY 10025
- (c) Citizenship

USA
- (d) Title of Class of Securities

Common Stock
- (e) CUSIP Number

290846203

Item 3. not applicable

Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- (a) Amount beneficially owned: 2,529,024 shares consisting of 2,164,746 held by the reporting person, 181,756 held by the AWL Family LLC, 45,173 held by the Lytton-Kambara Foundation, 44,600 shares held by the WWL Trust, 19,207 shares held by IKL-UTMA, 15,583 held by the IKL Trust, 15,300 held by the WWL Trust and 42,659 held by other related accounts.
- (b) Percent of class: 9.1%
- (c) Number of shares as to which such person has:
- (i) Sole power to vote or to direct the vote 2,486,365

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- | | | |
|-------|---|-----------|
| (ii) | Shared power to vote or to direct the vote | 42,659 |
| (iii) | Sole power to dispose or to direct the disposition of | 2,486,365 |
| (iv) | Shared power to dispose or to direct the disposition of | 42,659 |

Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities check the following [].

Item 6. Ownership of More than Five Percent on Behalf of Another Person.
not applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company.
Not Applicable

Item 8. Identification and Classification of Members of the Group.
Not Applicable

Item 9. Notice of Dissolution of Group.
Not Applicable

Item 10. Certifications.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

_____2/13/19_____

Date

____s/ Laurence W. Lytton_____

Signature

____Laurence W. Lytton_____

Name/Title