#### SMITH HARMON D

Form 3

February 10, 2011

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PULTEGROUP INC/MI/ [PHM]  **SMITH HARMON D** (Month/Day/Year) 02/10/2011 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1234 LAKESHORE DR. STE (Check all applicable) 750A (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Area President Person COPPELL, TXÂ 75019 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 159,855 Units 5,287.329 (1) I via 401(k) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative 2. Date Exercisable and 3. Title and Amount of 5. 6. Nature of Indirect Security **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) (Instr. 4) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	12/13/2003	12/13/2011	Common Stock	4,000	\$ 10.9125	D	Â
Employee Stock Option (Right to Buy)	12/12/2004	12/12/2012	Common Stock	6,000	\$ 11.4025	D	Â
Employee Stock Option (Right to Buy)	12/11/2005	12/11/2013	Common Stock	12,000	\$ 21.635	D	Â
Employee Stock Option (Right to Buy)	12/09/2006	12/09/2014	Common Stock	8,000	\$ 28.3625	D	Â
Employee Stock Option (Right to Buy)	12/08/2007	12/08/2015	Common Stock	20,000	\$ 40.405	D	Â
Employee Stock Option (Right to Buy)	12/07/2008	12/07/2016	Common Stock	25,000	\$ 34.235	D	Â
Employee Stock Option (Right to Buy)	12/06/2009(2)	12/06/2017	Common Stock	50,000	\$ 10.93	D	Â
Employee Stock Option (Right to Buy)	12/09/2010(3)	12/09/2018	Common Stock	39,000	\$ 11.355	D	Â
Employee Stock Option (Right to Buy)	08/18/2011(4)	08/18/2019	Common Stock	40,000	\$ 12.335	D	Â
Employee Stock Option (Right to Buy)	02/11/2012(5)	02/11/2020	Common Stock	25,000	\$ 11.445	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
SMITH HARMON D						
1234 LAKESHORE DR	â	Â	Area President	Â		
STE 750A	Α	А	A Area President	А		
COPPELL, TX 75019						

## **Signatures**

/s/ Jan M. Klym, attorney-in-fact for Mr. 02/10/2011

\*\*Signature of Reporting Person

#### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1)

Reporting Owners 2

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Represents units of the PulteGroup, Inc. Stock Fund (the "Fund") of the PulteGroup, Inc. 401(k) Plan. The Fund consists of cash and Common Stock in amounts that vary from time to time. The reporting person's units represents 11,459.231 shares of PutleGroup, Inc. Common Stock held in the Fund as of 1/31/2011.

- (2) These options vest 50% on 12/6/2009, and 25% on both 12/6/2010 and 12/6/2011.
- (3) These options will vest 50% on 12/9/2010 and 25% on 12/9/2011 and 12/9/2012.
- (4) These options vest 50% on 8/18/2011; and 25% on both 8/18/2012 and 8/18/2013.
- (5) These options vest 50% on 02/11/2012, and 25% on both 02/11/2013 and 02/11/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.