

FERRO CORP
Form 4
March 03, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Duesenberg Mark Hugo

(Last) (First) (Middle)
6060 PARKLAND BOULEVARD
(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FERRO CORP [FOE]

3. Date of Earliest Transaction
(Month/Day/Year)
02/27/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)
General Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)			
			Code	V	Amount	(D)	Price
Common Stock	02/27/2015		M		42,100	A	63,835
						D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: FERRO CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. An
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Performance Share Unit	\$ 0	02/27/2015		M	42,100	<u>(1)</u> 12/31/2014	Common Stock	
Performance Share Unit	\$ 0					<u>(1)</u> 12/31/2016	Common Stock	
Performance Share Unit	\$ 0					<u>(1)</u> 12/31/2015	Common Stock	
Performance Share Unit	\$ 0					<u>(1)</u> 12/31/2017	Common Stock	
Phantom Shares	<u>(2)</u>					<u>(2)</u> <u>(2)</u>	Common Stock	20
Restricted Share Unit	\$ 0					02/20/2017 ⁽³⁾ 02/20/2017	Common Stock	
Restricted Share Unit	\$ 0					02/23/2015 ⁽³⁾ 02/23/2015	Common Stock	
Restricted Share Unit	\$ 0					02/23/2016 ⁽³⁾ 02/23/2016	Common Stock	
Restricted Share Unit	\$ 0					02/18/2018 ⁽³⁾ 02/18/2018	Common Stock	
Stock Options (Right to Buy)	\$ 21.28					09/17/2009 09/17/2018	Common Stock	
Stock Options (Right to Buy)	\$ 8.25					02/25/2011 02/25/2020	Common Stock	
Stock Options (Right to Buy)	\$ 1.37					02/25/2010 02/25/2019	Common Stock	
Stock Options (Right to Buy)	\$ 15.16					02/24/2012 02/24/2021	Common Stock	

