Spectra Energy Corp. Form 4 October 03, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Fiedorek R	Symbol	2. Issuer Name and Ticker or Trading Symbol Spectra Energy Corp. [SE]			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (N	Middle) 3. Date o	f Earliest T	ransaction	(Che	(Check all applicable)		
5400 WES	THEIMER COUR	*	Day/Year) 2016		Director 10% Owner Officer (give title Other (special below) President SET West			
	4. If Ame	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
HOUSTON	Filed(Mo	Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Tab	le I - Non-	Derivative Securities Acq	quired, Disposed o	of, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securities Acquired for(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed	of (D)	Beneficially Form: Di Owned (D) or Following Indirect (	Ownership Form: Direct (D) or Indirect (I)	ct Beneficial Ownership	
			Code V	A maynt	(A) or	Dwine	Reported (Instr. 4) Transaction(s) (Instr. 3 and 4)		
Common Stock	09/30/2016		Code V M	Amount 3,400	(D)	Price \$ 26.33	98,097.74	D (1)	
Common Stock	09/30/2016		M	18,900	A	\$ 25.64	116,997.74	D (1)	
Common Stock	09/30/2016		D	22,300	D	\$ 42.75	94,697.74	D (1)	
Common Stock							4,000	I	By Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**OMB APPROVAL** 

3235-0287

January 31,

2005

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Secur Acqu or Di (D)	rities ired (A) sposed of 2.3,4,	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 26.33	09/30/2016		M		3,400	07/02/2007	07/02/2017	Common Stock	3,400
Employee Stock Option (Right to Buy)	\$ 25.64	09/30/2016		M		18,900	02/27/2007	02/27/2017	Common Stock	18,900

## **Reporting Owners**

Director 10% Owner Officer Other

Fiedorek Robert Mark

5400 WESTHEIMER COURT President SET West

HOUSTON, TX 77056

#### **Signatures**

/s/ Annachiara Jones, attorney-in-fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 24,009 held by Trustee in the Retirement Savings Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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