Smith Andrea B Form 4 February 20, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Expires:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

January 31, 2005

0.5

Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

1(b).

(Print or Type Responses)

Earliest Transaction  ay/Year)  Director  X Officer (give title below)  below  Chief Administrative	v)
ndment, Date Original  6. Individual or Joint/Group th/Day/Year)  Applicable Line)  Y. Form filed by One Reporting	ng Person
	,

# (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	(D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	02/15/2019		M	44,842	A	\$ 0 (1)	275,053	D	
Common Stock	02/15/2019		F	20,096 (2)	D	\$ 29.11	254,957	D	
Common Stock	02/15/2019		M	28,124	A	\$ 0 (1)	283,081	D	
Common Stock	02/15/2019		F	12,620 (2)	D	\$ 29.11	270,461	D	
Common Stock	02/15/2019		M	22,493	A	\$ 0 (1)	292,954	D	

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Common Stock	02/15/2019	F	10,132 (2)	D	\$ 29.11	282,822	D	
Common Stock						100,000	I	2018 GRAT
Common Stock						54	I	UTMA Trust 1

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration I (Month/Day	Pate	7. Title and 2 Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2016 Restricted Stock Units	(1)	02/15/2019		M	44,842	(3)	02/15/2019	Common Stock	44,842
2017 Restricted Stock Units	(1)	02/15/2019		M	28,124	<u>(4)</u>	02/15/2020	Common Stock	28,124
2018 Restricted Stock Units	<u>(1)</u>	02/15/2019		M	22,493	(5)	02/15/2021	Common Stock	22,493

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Smith Andrea B 100 NORTH TRYON STREET			Chief Administrative					

Reporting Owners 2 CHARLOTTE, NC 28255

Officer

### **Signatures**

Andrea B. Smith/Amanda D. Daniel POA

02/20/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each unit represents a contingent right to receive one share of Bank of America Corporation common stock.
- (2) Disposition of shares to the issuer to satisfy a tax withholding obligation.
- (3) On February 12, 2016, the reporting person was granted units, vesting in three equal annual installments commencing on February 15, 2017.
- On February 15, 2017, the reporting person was granted units, vesting in three equal annual installments commencing on February 15, 2018.
- On February 15, 2018, the reporting person was granted units, vesting in three equal annual installments commencing on February 15, 2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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