Edgar Filing: PRUDENTIAL FINANCIAL INC - Form 4

| PRUDENTIAL Form 4 April 02, 2007 | , FINANCIAL | INC . | | | | | | | | | | |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------|----------------|----------------------------------------------------------------------------------------------|-------------------------------------|--------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|--|--|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSI | | | | | | | | OMB APPROVAL | | | | |
| CURIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | GE COMMISSION | OMB Number: | 3235-0287 | | | |
| Check this box if no longer subject to Section 16. Form 4 or | | | | NGES IN SECUR | Expires:January 31 2005Estimated average burden hours per response0.4 | | | | | | | |
| Form 5 obligations may continu <i>See</i> Instructi 1(b). | e. Section 17(| a) of the I | Public U | | ding Cor | npany A | hange Act of 1934, act of 1935 or Section f 1940 | 1 | | | | |
| (Print or Type Res | ponses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> UNRUH JAMES A | | | 2. Issuer Name and Ticker or Trading Symbol PRUDENTIAL FINANCIAL INC [(PRU)] | | | | Issuer | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) C/O PRUDEN INC., 751 BRO FLOOR | TIAL FINAN | | | of Earliest Tr Day/Year) 2007 | ransaction | | X Director Officer (give below) | title 109 below) | % Owner er (specify | | | |
| | | | | endment, Da onth/Day/Year | - | 1 | Applicable Line) _X_ Form filed by C | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| NEWARK, NJ | 071023777 | | | | | | Person | ore than One R | eporting | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-I | Derivative | Securitie | s Acquired, Disposed of | , or Beneficia | lly Owned | | | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year) | | ed Date, if | Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or | | | 5. Amount of 6. Securities F4 Beneficially (I Owned (I Following (I Reported Transaction(s) (Instr. 3 and 4) | Ownership orm: Direct D) or Indirect | 7. Nature of Indirect | | | | |
| | | | | Code V | | (D) Pri | | | | | | |
| Reminder: Report | on a separate line | e for each cla | ass of sec | urities benef | icially own | ned direct | ly or indirectly. | | | | | |

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number Transaction Code Derivative (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivativ Security (Instr. 5) | |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------------------------------------------------------------|---------------------|---------------------------------------------------------------------|-----------------|----------------------------------------------------|---------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Notional Shares | <u>(1)</u> | 04/01/2007 | | А | 48 | | (2) | (2) | Common Stock | 48 | \$ 90.2 |
| Notional Shares | <u>(1)</u> | 04/01/2007 | | А | 242 | | (3) | (3) | Common Stock | 242 | \$ 90.2 |

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Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|--|--|--|
| r | Director | 10% Owner | Officer | Other | | | |
| UNRUH JAMES A C/O PRUDENTIAL FINANCIAL, INC. 751 BROAD STREET, 4TH FLOOR NEWARK, NJ 071023777 | Х | | | | | | |
| Signatures | | | | | | | |
| By: /s/ Kathleen M. Gibson, Attorney-in-fact | 04/02/2007 | | | | | | |
| **Signature of Reporting Person | | Date | | | | | |
| Explanation of Paanana | 001 | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Notional Shares convert to common stock on a 1 to 1 basis.
- (2) Notional shares are deemed immediately exercisable and are payable in cash and/or common stock on a date following termination of service on the Board of Directors.
- (3) Notional shares are payable in common stock on a date following termination of service on the Board of Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.