

ASTRO MED INC /NEW/

Form 4

January 20, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Ondis April

(Last) (First) (Middle)

600 EAST GREENWICH
AVENUE,

(Street)

WEST WARWICK, RI 02893

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ASTRO MED INC /NEW/ [ALOT]

3. Date of Earliest Transaction
(Month/Day/Year)
01/15/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____X____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____ Form filed by One Reporting Person
____X____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount Price			
Common Stock	01/15/2015		M	1,562 A \$ 6.768	126,037	D (2)	
Common Stock	01/15/2015		F(1)	767 D \$ 13.79	125,270	D (2)	

Common
Stock

882,305

I

Held by the
Estate of
Albert W.
Ondis of
which April
Ondis is a
co-executor
(3)

Common Stock	3,858	I	Held under the issuer's Employee Stock Ownership Plan for the account of Albert W. Ondis ⁽⁴⁾
Common Stock	670	I	Held under the issuer's Employee Stock Ownership Plan for the account of April Ondis ⁽⁵⁾
Common Stock	326	I	Held under the issuer's Employee Stock Ownership Plan for the account of Albert W. Ondis, III ⁽⁶⁾
Common Stock	1,658	I	Held in trust for a child of Albert W. Ondis, III ⁽⁷⁾
Common Stock	122,097	D ⁽⁸⁾	
Common Stock	5,614	I	Held in trust for a child of Alexis Ondis ⁽⁹⁾
Common Stock	122,096	D ⁽¹⁰⁾	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

__Signature of Reporting Person

Date

Margaret D. Farrell (Attorney-in-fact for April Ondis)

01/20/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) April Ondis delivered 767 shares of the issuer's common stock to pay the exercise price of the options as reported herein.
- (2) These shares are owned directly by April Ondis.
- (3) These shares are owned directly by the Estate of Albert W. Ondis and indirectly by Albert W. Ondis, III, Alexis Ondis and April Ondis, as co-executors of the Estate of Albert W. Ondis.
- (4) These shares are owned indirectly by the Estate of Albert W. Ondis and each of Albert W. Ondis, III, Alexis Ondis and April Ondis, as co-executors of the Estate of Albert W. Ondis.
- (5) These shares are owned indirectly by April Ondis.
- (6) These shares are owned indirectly by Albert W. Ondis, III.
- (7) These shares are owned indirectly by Albert W. Ondis, III, as trustee of a trust for a child of Albert W. Ondis, III.
- (8) These shares are owned directly by Albert W. Ondis, III.
- (9) These shares are owned indirectly by Alexis Ondis, as trustee of a trust for a child of Alexis Ondis.
- (10) These shares are owned directly by Alexis Ondis.

Remarks:

Albert W. Ondis, III, Alexis Ondis and April Ondis are each co-executors of the Estate of Albert W. Ondis and ten percent ow

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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