#### ASTRO MED INC /NEW/

Form 4

January 20, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ondis April

2. Issuer Name and Ticker or Trading Symbol

ASTRO MED INC /NEW/ [ALOT]

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(Last)

(City)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

Director

600 EAST GREENWICH AVENUE.

(Street)

(State)

01/15/2015

Officer (give title below)

X\_\_ 10% Owner Other (specify

4. If Amendment, Date Original Filed(Month/Day/Year)

(Month/Day/Year)

Applicable Line)

Form filed by One Reporting Person X\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

WEST WARWICK, RI 02893

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Ac	quired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Secur. or (A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/15/2015		M	1,562	A	\$ 6.768	126,037	D (2)	
Common Stock	01/15/2015		F(1)	767	D	\$ 13.79	125,270	D (2)	

Held by the Estate of Albert W. Ondis of

Common Stock

882,305 Ι

which April Ondis is a co-executor

(3)

### Edgar Filing: ASTRO MED INC /NEW/ - Form 4

Common Stock	3,858	I	Held under the issuer's Employee Stock Ownership Plan for the account of Albert W. Ondis (4)
Common Stock	670	I	Held under the issuer's Employee Stock Ownership Plan for the account of April Ondis
Common Stock	326	I	Held under the issuer's Employee Stock Ownership Plan for the account of Albert W. Ondis, III (6)
Common Stock	1,658	I	Held in trust for a child of Albert W. Ondis, III (7)
Common Stock	122,097	D (8)	
Common Stock	5,614	I	Held in trust for a child of Alexis Ondis
Common Stock	122,096	D (10)	
Reminder: Report on a separate line for each class of securities benefic	ially owned directly or indirectly.		
	Persons who respond to the collinformation contained in this for required to respond unless the folioplays a currently valid OMB conumber.	m are not orm	SEC 1474 (9-02)

## Edgar Filing: ASTRO MED INC /NEW/ - Form 4

## $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Transaction Derivative Code Securities		Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Purchase)	\$ 6.768	01/15/2015		M		1,562	03/21/2006	03/21/2015	Common Stock	1,562

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Ondis April 600 EAST GREENWICH AVENUE WEST WARWICK, RI 02893		X					
Estate of Albert W. Ondis 600 EAST GREENWICH AVENUE WEST WARWICK, RI 02893		X					
Ondis Albert W. III C/O ASTRO-MED, INC. 600 E GREENWICH AVENUE W WARWICK, RI 02893		X					
Ondis Alexis C/O ASTRO-MED, INC. 600 EAST GREENWICH AVENUE WEST WARWICK, RI 02893		X					

# **Signatures**

Margaret D. Farrell (Attorney-in-fact for the Estate of Albert W. Ondis)				
**Signature of Reporting Person	Date			
Margaret D. Farrell (Attorney-in-fact for Albert W. Ondis, III)				
**Signature of Reporting Person	Date			
Margaret D. Farrell (Attorney-in-fact for Alexis Ondis)	01/20/2015			

Reporting Owners 3

#### Edgar Filing: ASTRO MED INC /NEW/ - Form 4

\*\*Signature of Reporting Person

Date

Margaret D. Farrell (Attorney-in-fact for April Ondis)

01/20/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) April Ondis delivered 767 shares of the issuer's common stock to pay the exercise price of the options as reported herein.
- (2) These shares are owned directly by April Ondis.
- (3) These shares are owned directly by the Estate of Albert W. Ondis and indirectly by Albert W. Ondis, III, Alexis Ondis and April Ondis, as co-executors of the Estate of Albert W. Ondis.
- (4) These shares are owned indirectly by the Estate of Albert W. Ondis and each of Albert W. Ondis, III, Alexis Ondis and April Ondis, as co-executors of the Estate of Albert W. Ondis.
- (5) These shares are owned indirectly by April Ondis.
- (6) These shares are owned indirectly by Albert W. Ondis, III.
- (7) These shares are owned indirectly by Albert W. Ondis, III, as trustee of a trust for a child of Albert W. Ondis, III.
- (8) These shares are owned directly by Albert W. Ondis, III.
- (9) These shares are owned indirectly by Alexis Ondis, as trustee of a trust for a child of Alexis Ondis.
- (10) These shares are owned directly by Alexis Ondis.

#### **Remarks:**

Albert W. Ondis, III, Alexis Ondis and April Ondis are each co-executors of the Estate of Albert W. Ondis and ten percent ow Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4