

MARCUS CORP
Form 4
January 08, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
MCKEITHAN DANIEL F JR

(Last) (First) (Middle)

TAMARACK PETROLEUM, 777 E.
WISCONSIN AVE., SUITE 1920

(Street)

MILWAUKEE, WI 53202-5367

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
MARCUS CORP [MCS]

3. Date of Earliest Transaction
(Month/Day/Year)
12/31/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	12/31/2015		A		729	A	11
Common Stock							16,601
							As Trustee (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

Edgar Filing: MARCUS CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. P Der Sec (Ins
				Code	V	(A)	(D)	
Stock Option (Right to Buy) ⁽³⁾	\$ 18.97	12/31/2015		A	583	12/31/2015	12/31/2025	Common Stock 583
Stock Option (Right to Buy) ⁽³⁾	\$ 17.73					05/25/2006	05/25/2016	Common Stock 500
Stock Option (Right to Buy) ⁽³⁾	\$ 23.37					05/31/2007	05/31/2017	Common Stock 500
Stock Option (Right to Buy) ⁽³⁾	\$ 17.17					05/29/2008	05/29/2018	Common Stock 500
Stock Option (Right to Buy) ⁽³⁾	\$ 10.78					05/28/2009	05/28/2019	Common Stock 500
Stock Option (Right to Buy) ⁽³⁾	\$ 11.14					05/27/2010	05/27/2020	Common Stock 500
Stock Option (Right to Buy) ⁽³⁾	\$ 10.5					05/26/2011	05/26/2021	Common Stock 500
Stock Option (Right to	\$ 13.33					05/31/2012	05/31/2022	Common Stock 500

Buy) (3)

Stock

Option (Right to	\$ 13.45
---------------------	----------

05/30/2013 05/30/2023

Common Stock 1,000

Buy) (3)

Stock

Option (Right to	\$ 16.84
---------------------	----------

05/29/2014 05/29/2024

Common Stock 1,000

Buy) (3)

Stock

Option (Right to

\$ 19.65

05/28/2015 05/28/2025

Common Stock 1,000

Buy) (3)

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

MCKEITHAN DANIEL F JR
TAMARACK PETROLEUM
777 E. WISCONSIN AVE., SUITE 1920
MILWAUKEE, WI 53202-5367

X

Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Daniel F. McKeithan, Jr.

01/08/2016

**Signature of Reporting Person

Date _____

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Restricted stock granted December 31, 2015 vests and becomes exercisable as follows: 50% after 3rd anniversary of the date of grant and 100% after 5th anniversary of the date of grant or upon death, disability or retirement.
- (2) As Trustee of the DFM, Jr. Revocable Trust U/A Dated 12/20/2005.
- (3) Granted pursuant to The Marcus Corporation 2004 Equity and Incentive Awards Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.