WINMARK CORP Form 4

FORM 4

June 04, 2013

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

if no longer subject to Section 16.

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Form 4 or Form 5 obligations **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MACKENZIE KIRK A

(Street)

(State)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

WINMARK CORP [WINA]

(Check all applicable)

(Last)

(City)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

06/01/2013

X\_ Director 10% Owner Officer (give title Other (specify

605 HIGHWAY 169 N, SUITE 400

(Month/Day/Year)

below) 6. Individual or Joint/Group Filing(Check

Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MINNEAPOLIS, MN 55441

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Ownership Beneficially Owned (D) or Following Indirect (I)

7. Nature of Indirect Form: Direct Beneficial Ownership (Instr. 4)

Reported

(Instr. 4)

Transaction(s) (Instr. 3 and 4)

(A)

Code V Amount (D) Price

Common 71,000 D Stock

> Kirk A MacKenzie

Common Stock

102,000 I

Revocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

1

### Edgar Filing: WINMARK CORP - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Num Securit Acquir (A) or Dispos (D) (Instr. 3 and 5)	vative ies ed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Sec (Instr. 3 and 4)
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title 1
Non-Employee Director Stock Option (right to buy)	\$ 20.96				()	(-)	12/13/2008(1)	12/13/2013	Common Stock
Non-Employee Director Stock Option (right to buy)	\$ 12.75						12/11/2009(2)	12/11/2018	Common Stock
Non-Employee Directors Stock Option (right to buy)	\$ 13.01						06/01/2010(2)	06/01/2019	Common Stock
Nonemployee Director Stock Option (right to buy)	\$ 22.15						12/10/2010(2)	12/10/2019	Common Stock
Non-Employee Director Stock Option (right to buy)	\$ 31.19						06/01/2011(2)	06/01/2020	Common Stock
Non-Employee Director Stock Option (right to buy)	\$ 32.92						12/14/2011(2)	12/14/2020	Common Stock
Non-Employee Director Stock Option (right to buy)	\$ 37.76						06/01/2012(2)	06/01/2021	Common Stock
Nonemployee Director Stock Option (right to buy)	\$ 53.34						12/08/2012(2)	12/08/2021	Common Stock

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Non-Employee Director Stock Option (right to buy)	\$ 51.17				06/01/2013(2)	06/01/2022	Common Stock
Non-Employee Director Stock Option (right to buy)	\$ 55.72				12/13/2013(2)	12/13/2022	Common Stock
Non-Employee Stock Option (right to buy)	\$ 59.77	06/01/2013	A	1,000	06/01/2014(2)	06/01/2023	Common Stock

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
MACKENZIE KIRK A 605 HIGHWAY 169 N SUITE 400 MINNEAPOLIS, MN 55441	X					

### **Signatures**

/s/ Kirk A.

MacKenzie 06/04/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 20% per year for five years.
- (2) 25% per year for four years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3