#### Edgar Filing: FAMOUS DAVES OF AMERICA INC - Form 4

#### FAMOUS DAVES OF AMERICA INC

Form 4

January 17, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

burden hours per

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Gilbert John F III

FAMOUS DAVES OF AMERICA **INC [DAVE]** 

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below)

Chief Executive Officer

C/O FAMOUS DAVE'S OF AMERICA, INC., 12701

WHITEWATER DRIVE, SUITE

(Street)

(State)

(Zin)

200

(City)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

01/15/2014

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MINNETONKA, MN 55343

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security	2. Transaction Date (Month/Day/Year)		4. Securiti on(A) or Dis		Securities	6. Ownership Form: Direct	Indirect			
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	(D) (Instr. 3, 4	and 5)	Beneficially Owned Following	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership		
				(A)		Reported Transaction(s)	(IIIsti. 4)	(Instr. 4)		
C			Code V	Amount	or (D) Pri	(Instr. 3 and 4)				
Common Stock, \$.01 par value	01/15/2014		F	585 <u>(1)</u>	D \$ 18.	3 171,440	D			
Common Stock \$.01 par value	01/15/2014		A	4,348 (2)	A \$0	175,788 <u>(3)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4.	5. onNumber	6. Date Exerc Expiration D		7. Titl		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Tear)	any (Month/Day/Year)	Code (Instr. 8)	of	(Month/Day/		Under Securi	rlying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Gilbert John F III C/O FAMOUS DAVE'S OF AMERICA, INC. 12701 WHITEWATER DRIVE, SUITE 200 MINNETONKA, MN 55343

X

Chief Executive Officer

## **Signatures**

/s/ Paul Ziccarelli as attorney-in-fact for John F. Gilbert III

01/17/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares forfeited to the Issuer upon vesting of restricted stock to cover applicable federal and state withholding obligations under IRC (1) sections 3101 and 3402, sections 31.3121(a)-1(e) and 31.3401-1(a)(4) of the Treasury Regulations, and MN Stat 290.92 and MN Admin
- Represents a restricted stock grant wwith transfer and forfeiture restrictions on the shares that lapse as follows: 870 shares on each of 12/31/2014, 12/31/2015, 12/31/2016 and 12/31/2017; and 868 shares on 12/31/2018.
- In addition to the present grant, includes (i) a restricted stock grant subject to remaining restrictions that lapse as to 3,000 shares on each of 8/2/2014, 8/2/2015 and 8/2/2016; (ii) a restricted stock grant subject to remaining restrictions that lapse in 4 equal annual installments of 30,000 shares each beginning on 10/8/2014; and (iii) a restricted stock grant subject to remaining restrictions that lapse in 4 equal annual installments of 1,741 shares each beginning on 12/31/2014.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.