Tree.com, Inc. Form 3 August 07, 2014

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Tree.com, Inc. [TREE] Patel Nikul (Month/Day/Year) 08/07/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 11115 RUSHMORE DR. (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) CHARLOTTE, NCÂ 28277 Form filed by More than One Chief Product Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 20,534 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	y 2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

#### Edgar Filing: Tree.com, Inc. - Form 3

				Shares		(I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common Stock	10,001	\$ 0	D	Â
Restricted Stock Units	(2)	(2)	Common Stock	26,667	\$ 0	D	Â
Restricted Stock Units	(3)	(3)	Common Stock	6,453	\$ 0	D	Â
Options to Purchase Common Stock	(4)	02/19/2024	Common Stock	8,046	\$ 33.59	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other		
Patel Nikul 11115 RUSHMORE DR. CHARLOTTE, NC 28277	Â	Â	Chief Product Officer	Â		

### **Signatures**

/s/ Katharine Pierce as Attorney-in-Fact for Nikul Patel

08/07/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These restricted stock units vest in a single installment on August 8, 2015 in accordance with the terms of the original award agreement.
- (2) These restricted stock units vest in two equal annual installments beginning on February 12, 2015 in accordance with the terms of the original award agreement.
- (3) These restricted stock units vest in three equal annual installments beginning on February 19, 2015 in accordance with the terms of the original award agreement.
- (4) These options to purchase common stock vest in three equal annual installments beginning on February 19, 2015 in accordance with the terms of the original award agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2