#### OLD SECOND BANCORP INC

Form 4

October 27, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

Ladowicz John

(Print or Type Responses)

1. Name and Address of Reporting Person \*

|  |                                     |                  | OLD SECOND BANCORP INC [OSBC]                               |   |            | (Check all applicable)  |                   |  |  |   |
|--|-------------------------------------|------------------|---|---|------------|---|-------------------|--|--|---|
| (Last) 37 S. RIVE                                    | (First) ER ST.                      | (Middle)         | 3. Date of Earliest Transaction (Month/Day/Year) 10/26/2015 |   |            | X Director 10% Owner Officer (give title below) Other (specify below)   |                   |  |  |   |
|  |                                     |                  | amendment, Date Original<br>Month/Day/Year)                 |   |            | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |                   |  |  |   |
| (City)   | (State)                             | (Zip)            | Tah   | de I - Non-                             | Derivativa | Secu  | rities Acar       | Person uired, Disposed o   | f or Reneficia   | lly Owned   |
| 1.Title of<br>Security<br>(Instr. 3)                 | 2. Transaction Da<br>(Month/Day/Yea | r) Execution any | ned   | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi  | ties A<br>ispose<br>4 and<br>(A)<br>or  | equired ed of (D) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Second<br>Bancorp,<br>Inc.<br>Common<br>Stock        | 10/26/2015                          |                  |   | S                                       | 4,738      | D   | \$ 6.55           | 18,162 (4)   | I  | co-trustee<br>with<br>spouse                          |
| Old<br>Second<br>Bancorp,<br>Inc.<br>Common<br>Stock | 10/26/2015                          |                  |   | S                                       | 5,000      | D   | \$<br>6.5336      | 22,900 (3)   | I  | co-trustee<br>with<br>spouse                          |

#### Edgar Filing: OLD SECOND BANCORP INC - Form 4

Old

Second

Bancorp,  $7,146 \frac{(2)}{}$ D Inc.

Common

Stock

Old

Second

Bancorp, 290,908 (1) **IRA** I Inc.

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | ite                | 7. Title and<br>Underlying<br>(Instr. 3 and | Securities                             | 8. P<br>Der<br>Sec<br>(Ins |
|---|---|--------------------------------------|---|---------------------------------------|---|---------------------|--------------------|---|--|----------------------------|
|   |   |                                      |   | Code V                                | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                                       | Amount<br>or<br>Number<br>of<br>Shares |                            |

**Employee** 

Stock

Common 02/17/2010 02/17/2019 Option \$ 7.49 1,500 Stock

Right to Buy

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |
| Ladowicz John                  | X             |           |         |       |  |  |

37 S. RIVER ST.

2 Reporting Owners

AURORA, IL 60506

## **Signatures**

/s/ John Ladowicz 10/26/2015

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Included in this total are 268,181 shares held by Mr. Ladowicz in a ROTH IRA account and 22,727 shares held in Trust in an IRA account.
- (2) Included in this total are 2,146 shares in Mr. Ladowicz' name outright and 5,000 shares of restricted stock units in Mr. Ladowicz' name.
- (3) This total reflects the sale of 5,000 shares from the spouse's trust with Mr. Ladowicz as co-trustee. The balance after the sale is 22,900 shares.
- (4) This total reflects the sale of 4,738 shares from the spouse's trust with Mr. Ladowicz as co-trustee. The balance after the sale is 18,162 shares .

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3