TRAGER SCOTT Form 4

April 26, 2019 FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

0.5

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

burden hours per

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* TRAGER SCOTT

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

below)

Symbol

REPUBLIC BANCORP INC /KY/ [RBCAA]

(Check all applicable)

(Last)

(City)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

\_X\_\_ Director X\_ Officer (give title X\_\_ 10% Owner \_ Other (specify

601 WEST MARKET STREET

(Street)

(State)

(Month/Day/Year) 04/24/2019

Vice Chairman

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Filed(Month/Day/Year)

LOUISVILLE, KY 40202

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	04/24/2019		M	2,750	A	\$ 24.47	172,300.639 (1)	D	
Class A Common Stock	04/24/2019		F	1,880	D	\$ 46.05	170,420.639	D	
Class A Common Stock							37,142.957 (2)	I	By 401(k) Plan
Class A Common							1,985.052	I	By Jaytee Properties

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Stock									Limited Partnership (3)	
Class A Common Stock						13	8,962.307	I	By Teebank Family Limited Partnership	
Class A Common Stock						5	1,697	I	By Trager Family Irrevocable Trust (5)	
Reminder: Re	port on a sepa	rate line for each class	s of securities benefic	Persons informative required	who re tion con to resp a curre	spon Itaine oond	irectly. d to the colled d in this form unless the for valid OMB col	are not m	SEC 1474 (9-02)	
			tive Securities Acqui nts, calls, warrants, o							
1. Title of 2. Derivative Conversion or Exercise (Instr. 3) Price of Derivative Security		rcise any f (Month/Day/Year) tive		Transaction Derivative			6. Date Exercisable and Expiration Date Underlying (Month/Day/Year) (Instr. 3 and			Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Employee Stock Option (right to buy)	\$ 24.47	04/24/2019		M	2	,750	04/24/2019	04/24/2020	Class A Common Stock	2,750
Employee Stock Option (right to buy)	\$ 24.47						04/24/2020	04/24/2021	Class A Common Stock	2,750
Class B Common Stock	<u>(6)</u>						<u>(7)</u>	<u>(8)</u>	Class A Common Stock	29,48

Class B

Common

<u>(6)</u>

1,190

Class A

Common

<u>(7)</u>

(8)

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Stock			Stock	
Class B Common Stock	<u>(6)</u>	<u>(7)</u> <u>(8)</u>	Class A Common Stock	444.78′
Class B Common Stock	<u>(6)</u>	<u>(7)</u> <u>(8)</u>	Class A Common Stock	2,486.25
Class B Common Stock	<u>(6)</u>	<u>(7)</u> <u>(8)</u>	Class A Common Stock	4,107

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
TRAGER SCOTT 601 WEST MARKET STREET LOUISVILLE, KY 40202	X	X	Vice Chairman			

# **Signatures**

/s/ Scott Trager 04/26/2019

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 32.957 shares acquired under the Issuer's dividend reinvestment plan since the date of the reporting person's last ownership report
- (2) Reflects additions to and changes in value of shares held under the Issuer's 401(k) plan since the date of the reporting person's last ownership report.
- (3) Jaytee Properties Limited Partnership is a family limited partnership of which the reporting person is a limited partner.
- (4) Teebank Family Limited Partnership is a family limited partnership of which the reporting person is a limited partner.
- (5) Trager Family Irrevocable Trust is a family trust of which the reporting person is a co-trustee and a beneficiary.
- (6) Conversion from Class B Common Stock to Class A Common Stock is on a one share for one share basis.
- (7) Immediate.
- (8) None.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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