Edgar Filing: EKHOLM BORJE - Form 4

EKHOLM B	ORJE										
Form 4											
May 31, 201	1										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL		
CURIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check thi if no long	or							Expires:	January 31,		
subject to Section 1	ANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				Estimated average burden hours per						
Form 4 or Form 5			1((-)) = f(-)	. C	F .	. 1	· · · · · · · · · · · · · · · · · · ·	response	. 0.5		
obligation	· ·						ge Act of 1934,				
may cont	nue.	30(h) of the	•	•	•		f 1935 or Sectio	011			
<i>See</i> Instru 1(b).	ction	50(II) of the	mvestment	Compan	y Aci	. 01 19	40				
1(0).											
(Print or Type F	lesponses)										
1. Name and Address of Reporting Person *2. IssuerEKHOLM BORJESymbol				l Ticker or	Tradin	g	5. Relationship of Reporting Person(s) to Issuer				
NAS			ASDAQ OMX GROUP, INC.				(Check all applicable)				
(Last)	(First) (N	(iddle) 3. Dat	e of Earliest Tr	ansaction			X_ Director	109	% Owner		
(Month/D			nth/Day/Year)Officer (give to below)				e title Oth below)	er (specify			
			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)				
	ed(Month/Day/Year)				_X_Form filed by One Reporting Person						
NEW YORI	K, NY 10006						Form filed by M Person	More than One R	eporting		
(City)				Derivative S	Securi	ties Ac	quired, Disposed o		lly Owned		
1.Title of	2. Transaction Date		3. if Transporti	4. Securi			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect		
Security (Month/Day/Year) Execution Date, if (Instr. 3) any			if TransactionAcquired (A) or Code Disposed of (D)			Beneficially	(D) or	Beneficial			
		(Month/Day/Ye					Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
					(A)		Reported				
					or		Transaction(s) (Instr. 3 and 4)				
C			Code V	Amount	(D)	Price					
Common Stock, par				7,999							
value \$0.01	05/26/2011		А	(1)	А	\$0	17,999 <u>(2)</u>	D			
per share				_							

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

er

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Officer Othe				
EKHOLM BORJE ONE LIBERTY PLAZA NEW YORK, NY 10006	Х							
Signatures								
/s/ Edward S. Knight, by power attorney	r of	05/						
**Signature of Reporting Person			Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock vests as to 100% on May 26, 2012.
- (2) Represents (i) unvested units of restricted stock granted pursuant to The NASDAQ OMX Group, Inc. Equity Incentive Plan and (ii) 10,000 shares of common stock acquired through open market purchases.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.