### Edgar Filing: FARMER BROTHERS CO - Form 3

#### FARMER BROTHERS CO

Form 3

December 09, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FARMER BROTHERS CO [FARM] MARCY CHARLES F (Month/Day/Year) 12/05/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) FARMER BROS.CO., Â 20333 (Check all applicable) SOUTH NORMANDIE AVE. (Street) 6. Individual or Joint/Group 10% Owner \_X\_\_ Director Officer \_ Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Person TORRANCE, Â CAÂ 90502 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock, \$1.00 par value D 2,500 Common Stock \$1.00 par value 1,459 (1) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	Securities Underlying	4. Conversion		6. Nature of Indirect Beneficial Ownership
	(Wolldy Day) Teal)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	

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Date Expiration Title Amount or Derivative Security:

Exercisable Date Number of Security Direct (D)

Shares or Indirect

(I)

(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Director 10% Owner Officer Other MARCY CHARLES F FARMER BROS.CO.  $\hat{A} X \hat{A} \hat{A} \hat{A}$ 

# **Signatures**

TORRANCE, Â CAÂ 90502

/s/ Thomas J. Mattei, Jr., Attorney-In-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Grant of restricted stock under the Farmer Bros. Co. Amended and Restated 2007 Long-Term Incentive Plan, granted on December 5,

(1) 2013; one third of the total shares vest each year on the anniversary of the grant date commencing on December 5, 2014, subject to continued service to Farmer Bros. Co. through each vesting date.

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### **Remarks:**

Ex. 24 Power of Attorney attached.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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