#### Edgar Filing: FIRST CITIZENS BANCSHARES INC /DE/ - Form 4

FIRST CITIZENS BANCSHARES INC /DE/ Form 4 December 24, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Brice Carson H Issuer Symbol FIRST CITIZENS BANCSHARES (Check all applicable) INC /DE/ [FCNCA] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X\_\_ 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) PO BOX 1352 12/18/2014 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting SMITHFIELD, NC 27577 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Ownership Indirect (Instr. 3) Code Beneficially Form: Direct Beneficial (D) any (Instr. 8) (Month/Day/Year) (Instr. 3, 4 and 5) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Class A 12/18/2014 G V 6,200 A \$0 200,068 D Common Class A 12/18/2014 G V 21,600 Α \$0 221,668 D Common Class A 12/22/2014 G V 33.100 A \$0 254.768 D Common As custodian Class A Ι for Stephen 3,585 Common Brice

2,985

Ι

As

Custodian

Class A Common

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			for Cordelia Brice
Class A Common	2,985	Ι	As Custodian for Elizabeth Brice
Class A Common	10,652	Ι	As beneficiary of Trust
Class A Common	72,800 (1)	Ι	By ECHB, LLC
Class A Common	49,686 <u>(2)</u>	Ι	Trusts f/b/o children
Class B Common	108,440	D	
Class B Common	443	Ι	By spouse
Class B Common	2,227	Ι	As custodian for Stephen Brice
Class B Common	2,228	Ι	As custodian for Elizabeth Brice
Class B Common	2,228	Ι	As custodian for Cordelia Brice
Class B Common	1,250	Ι	As beneficiary of Trust
Class B Common	1,719	I <u>(2)</u>	Trusts f/b/o children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	\$	(Instr. 3 and 4)		Owne

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Security

Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Code V (A) (D) Date Expiration Title Amount Exercisable Date or Number of Shares

**Reporting Owners** 

<b>Reporting Owner Name / Address</b>		Relationsh		
1.0.0	Director	10% Owner	Officer	Other
Brice Carson H PO BOX 1352 SMITHFIELD, NC 27577		Х		
Signatures				
Carson H. Brice, By: William Attorney-in-fact	12/24/2014			
<u>**</u> Signature of Repo	rting Person			Date
Evalenction of De				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The listed shares are held by a limited liability company in which 100% of the voting interests are held by a trust for the benefit of the Reporting Person's children. The Reporting Person does not serve as trustee of the trust or have any interest in the limited liability

(1) company, she disclaims beneficial ownership of the shares held by the limited liability company, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the shares for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

The listed shares are held by three trusts for the benefit of the Reporting Person's children. The Reporting Person does not serve as trustee of or have or share investment control over the Issuer's shares held by the trusts, she disclaims beneficial ownership of the shares held by

(2) of of have of share investment control over the issuer's shares neuroby the trusts, she discrams beneficial owner of the shares for purposes of section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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