BOROW KENNETH M MD

Form 144

February 14, 2007

**UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

**OMB Number:** 3235-0101 Expires -**September 30, 1998** 

Estimated average burden

Hours per response

Washington, D.C. 20549

**FORM 144** 

SEC USE ONLY

2.0

NOTICE OF PROPOSED SALE OF SECURITIES

DOCUMENT SEQUENCE NO.

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

**CUSIP NUMBER ATTENTION:** Transmit for filing 3 copies of this form concurrently with either placing an order with

a broker to execute sale Or executing a sale directly with a market maker.

WORK LOCATION

1(a) NAME OF ISSUER (Please type or print)

(b) IRS IDENT NUMBER

(c) S.E.C. FILE NUMBER

**ENCORIUM GROUP INC** 561668867 21145

(d) ADDRESS OF ISSUER ZIP CODE STREET CITY STATE (e) TELEPHONE NO. ONE GLENHARDIE CORPORATE CENTER 1275 DRUMMERS LN. **SUITE 100 WAYNE, PA 19087** Area Code Number

975-9533 610 2(a) NAME OF PERSON FOR WHOSE (b) SOCIAL SECURITY NO (c) RELATIONSHIP (d) ADDRESS STREET CITY STATE ZIP CODE

ACCOUNT THE SECURITIES OR IRS IDENT, NO. TO ISSUER

ARE TO BE SOLD

407 WYNTRE LEA DRIVE Kenneth M. Borow, M.D. 196-36-8788 CEO

BRYN MAWR, PA 19010

INSTRUCTION: The person filing this notice should contact the issuer to obtain the IRS. Identification Number and the S.E.C. File Number

SEC USE 3(a)

> (b) ONLY (c) (d) (e) (f) (g)

> > Number of

Shares

Number of ShareApproximate

Title of the Name of Each Or Other Aggregate Name and address of Each

Units or Other Units Date of Sale **Broker Through Whom** class of

Securities the Securities are Market **Broker-Dealer To Be Sold** Value Outstanding (See Instr.

Securities Exchange 3(f)to be Offered or Each

market Maker Who is File (See Instr. (See Instr. (See Instr.

To Be Sold **Acquiring the Securitie** Number 3(c)3(d)3(e)) (Mo. Day Yr) (See Instr. 3(g))

COMMON THOMPSON DAVIS & CO. 919 E. MAIN ST. 16TH 150,000.00 \$711,000.00 13.35M 02/13/2007 NASDAQ

FLOOR RICHMOND, VA 23218

**INSTRUCTIONS:** 

- 1. (a) Name of issuer
  - (b) Issuer s I.R.S. Identification Number
  - (c) Issuer s S.E.C. file number, if any
  - (d) Issuer s address, including zip code
  - (e) Issuer s telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
  - (b) Such person s Social Security or IRS identification number
  - (c) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (d) Such person s address, including zip code
- 3. (a) Title of the class of securities to be sold
  - (b) Name and address of each broker through whom the securities are intended to be sold
  - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
  - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer.
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended to be sold.

#### TABLE 1 SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold

and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of	Date You	Name of Person from Whom Acquired		
The Class	Acquired	Amount of Nature of Acquisition Transaction gift, also give date donor acquired Securities Acquired	Date of Payment	Nature of Payment

## SEE ATTACHMENT A

INSTRUCTIONS: 1. If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last

installment paid.

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other are to be sold had any short positions, put or other option to dispose of securities referred to in paragraph (d) (3) of Rule 144, furnish full information with respect thereto.

### TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller
Title of Securities Sold
KENNETH M. BOROW, M.D.
Date of Sale
Securities Sold
11/13/2006
Securities Sold
25000.00
\$95080.00

407 WYNTRE LEA DRIVE

**BRYN MAWR, PA 19010** 

#### **REMARKS:**

\*\*1) SHARES SOLD PURSUANT TO 10B5-1 PLAN

**INSTRUCTIONS:** ATTENTION:

See the definition of person in paragraph (a) of Rule 144. Information is The person for whose account the securities to which this notice to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed.

DATE OF NOTICE (SIGNATURE)

This notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001).

### ATTACHMENT A

TITLE OF DATE ACQUIRED: NATURE OF ACQUISITION NAME OF PERSON FROM AMOUNT OF SECURITIES DATE OF NATURE OF

CLASS TRANSACTION: WHOM ACQUIRED: ACQUIRED: PAYMENT: PAYMENT COMMON 7/31/2003 OPEN MARKET OPEN MARKET 359568 7/31/2003 CASH