NOMURA HOLDINGS INC Form 6-K March 03, 2016 Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of March 2016

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F
Form 20-F <u>X</u> Form 40-F
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Extraordinary Report Pursuant to the Financial Instruments and Exchange Act

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: March 3, 2016

By: /s/ Hajime Ikeda

Hajime Ikeda

Senior Managing Director

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[Translation of Extraordinary Report Filed with the Director General of the Kanto Finance Bureau on March 3, 2016]

1. Reason for Submission

As a change in Representative Executive Officers has been resolved at the Board of Directors meeting held on March 1, 2016, the Company hereby files this Extraordinary Report pursuant to Article 24-5, Clause 4 of Financial Instruments and Exchange Act and Article 19, Clause 2, Item 9 of Cabinet Office Ordinance on Disclosure of Corporate Information, Etc.

Number of

2. Matters Reported

(1) Representative Executive Officer to retire

	Number of					
Name	New Title and	Former Title and	Shareholdings			
(Date of Birth)	Position	Position	(in hundreds)	Date of Change		
Atsushi Yoshikawa	Director	Director,	2010	Mar. 31, 2016		
		Representative				
(Apr. 7, 1954)		Executive Officer and				
		Group COO				

(2) Representative Executive Officer to be appointed

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	Name		Number of Shareholdings		
Title and Position	(Date of Birth)	Date of Change	(in hundreds)		Business Experience
Representative	Tetsu Ozaki	Apr. 1, 2016	809	Apr. 1982	Joined the Company
Executive Officer Group COO	(Jan. 16, 1958)			Apr. 2004	Senior Managing Director of the Company
					Senior Managing Director of Nomura Securities Co., Ltd.
				Apr. 2007	Senior Managing Director of the Company
					Counselor of Nomura Securities Co., Ltd.

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Apr. 2008 Executive Managing Director of Nomura Securities Co., Ltd.

Oct. 2008 Senior Corporate Managing Director of Nomura Securities Co., Ltd.

Aug. 2012 Deputy President of Nomura Securities Co., Ltd.

Apr. 2013 Director and Deputy President of Nomura Securities Co., Ltd.

Apr. 2014 Executive Managing Director

of the Company (Current)

Wholesale CEO (Current)

Deputy President of Nomura
Securities Co., Ltd. (Current)
END