

NOMURA HOLDINGS INC
Form 6-K
January 12, 2017
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FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of January 2017

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from December 1, 2016 to December 31, 2016

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: January 12, 2017

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

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[Translation of Share Buyback Report for the reporting month from December 1, 2016 to December 31, 2016 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on January 12, 2017]

Class of Shares: Common Stock**1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

		as of December 31, 2016	
	Number of Shares	Total Repurchase Amount (JPY)	
Authorization pursuant to the resolution of the Board (July 28, 2016)			
(Period of repurchase: from August 15, 2016 to January 27, 2017 (excluding the period between October 28, 2016 to November 11, 2016))		100,000,000	45,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	984,100	637,052,260
	December 1	984,100	662,593,830
	December 2	1,098,000	739,527,590
	December 5	1,098,000	763,467,440
	December 6	1,098,000	771,729,480
	December 7	1,098,000	797,230,580
	December 8	1,098,000	825,725,260
	December 9	1,100,600	842,647,730
	December 12	1,100,600	815,403,210
	December 13	1,100,600	824,090,020

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December 14	1,100,600	828,635,220
December 15	623,200	468,193,950
December 16		

Total	12,483,800	8,976,296,570
Aggregate shares repurchased as of the end of this reporting month	85,987,200	44,999,965,170
Progress of share repurchase (%)	86.0	100.0

Table of Contents**2. Status of disposition**

	as of December 31, 2016	
	Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)	
Subtotal		
Treasury shares canceled	(Date)	
Subtotal		
Treasury shares transferred upon merger, share exchange or demerger	(Date)	
Subtotal		
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)	
	December 12	70
		47,306
	December 29	27
		19,448
Subtotal		97
		66,754
Other (exercise of stock acquisition rights)	(Date)	140,500
	December 1	60,500
		60,500
	December 2	47,400
		344,400
	December 5	32,700
		1,871,700
	December 6	111,700
		4,930,600
	December 7	172,700
		12,136,700
	December 8	91,200
		6,131,200
	December 9	115,600
		6,822,600
	December 12	190,700
		16,179,700
	December 13	83,900
		16,695,900
	December 14	192,300
		13,631,300
	December 15	207,200
		16,839,200
	December 16	144,000
		1,332,000
	December 19	484,400
		7,065,400

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	December 20	165,800	6,802,800
	December 21	74,100	6,782,100
	December 22	9,000	605,000
	December 26	196,000	4,822,000
	December 27		
Subtotal		2,519,700	125,505,600
Total		2,519,797	125,572,354

3. Status of shares held in treasury

As of the end of the reporting month	as of December 31, 2016
	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	298,593,095