### Edgar Filing: SEATTLE GENETICS INC /WA - Form 4

SEATTLE GENETICS INC /WA Form 4 September 13, 2016

if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expires:       201         Section 16.       SECURITIES       Estimated average burden hours per response       5         Form 4 or Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Section 1940         (Print or Type Responses)       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       5. Relationship of Reporting Person(s) to Issuer         21823 30TH DRIVE SE       09/09/2016       Director (Street)       10% Owner (Wonth/Day/Year)         BOTHELL, WA 98021       (Zip)       Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         (City)       (State)       (Zip)       Table 1 - Non-Derivative Securities Acquired       5. Amount of Security       6. Ownership 7. Nature o Security	September 13, 201	16							
Contribution of the problem of the pro	FORM 4						OMB APPROVAL		
if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expires:       201 201 201 201 201 201 201 201 201 201		UNITED STATES							
1. Name and Address of Reporting Person. <sup>+</sup> 2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       5. Relationship of Reporting Person(s) to Issuer         21823 30TH DRIVE SE       09/09/2016	if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section						Expires:January 31, 2005Estimated averageburden hours per response0.5	
Cline Darren S       Symbol       Issuer         Searrile GENETICS INC /WA       (Check all applicable)         (Last)       (First)       (Middle)         3. Date of Earliest Transaction       Director       10% Owner         (Month/Day/Year)       09/09/2016       Director       10% Owner         21823 30TH DRIVE SE       09/09/2016       EVP, Commercial         (Street)       4. If Amendment, Date Original       6. Individual or Joint/Group Filing(Check         Applicable Line)       -X_ Form filed by One Reporting Person       -X_ Form filed by More than One Reporting         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1. Title of       2. Transaction Date       2A. Deemed       3.       4. Securities Acquired       5. Amount of       6. Ownership 7. Nature o         Security       (Month/Day/Year)       3.       4. Securities Acquired       5. Amount of       6. Ownership 7. Nature o	(Print or Type Respon	nses)							
21823 30TH DRIVE SE       (Month/Day/Year)		s of Reporting Person <u>*</u>	Symbol SEATTLE GENETICS INC /WA			Issuer			
Filed(Month/Day/Year)       Applicable Line)		· · · · · ·	(Month/Day/Year)	ransaction		Officer (give below)	title Othe below)		
(City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of Security       2. Transaction Date       2A. Deemed       3.       4. Securities Acquired       5. Amount of       6. Ownership       7. Nature or         Security       (Month/Day/Year)       Execution Date, if       Transaction(A) or Disposed of (D)       Securities       Form: Direct       Indirect				-			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed3.4. Securities Acquired5. Amount of Securities6. Ownership Form: Direct7. Nature or Indirect						Person			
Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect	(City) (S	State) (Z1p)	Table I - Non-D	Perivative Secur	rities Acqu	uired, Disposed of,	, or Beneficial	ly Owned	
(Instr. 3)any (Month/Day/Year)Code (Instr. 8)(Instr. 3, 4 and 5) (Instr. 8)Beneficially Owned(D) or Indirect (I)Beneficial Ownership Following (Instr. 4)(Instr. 4)(Instr. 8)(Instr. 8)OwnedIndirect (I) (Instr. 4)Ownership (Instr. 4)(A) or Code V Amount(A) OP Price(A) (Instr. 3 and 4)(Instr. 3)	Security (Mon	onth/Day/Year) Executio any	n Date, if Transactio Code Day/Year) (Instr. 8)	on(A) or Dispose (Instr. 3, 4 and (A) or	ed of (D) 5)	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	
Common Stock 09/09/2016 M 4,167 A <sup>\$</sup> 55,743 (1) D	09/0	09/2016	М	4,167 A	\$ 15.46	55,743 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (II
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Incentive Stock Option (right to buy)	\$ 15.46	09/09/2016		М	4,167	(2)	08/24/2021	Common Stock	4,167	

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# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting officer runner runner.	Director	10% Owner	Officer	Other			
Cline Darren S 21823 30TH DRIVE SE BOTHELL, WA 98021			EVP, Commercial				
Signatures							
/s/ Jean Liu 0	9/13/2016						

# /s/ Jean Liu

\*\*Signature of

Reporting Person

Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Amount of securities beneficially owned following reported transactions includes restricted stock units subject to vesting.

(2) Shares vested at a rate of 25% on 8/24/12 and monthly thereafter until all the shares were fully vested on 8/24/15.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.