#### Edgar Filing: NETEZZA CORP - Form 3

**NETEZZA CORP** Form 3 July 18, 2007

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

ZANDER EDWARD J

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

07/18/2007

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

NETEZZA CORP [NZ]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O NETEZZA

CORPORATION, 200 CROSSING BOULEVARD

(Street)

10% Owner \_X\_ Director

(Check all applicable)

Officer Other (give title below) (specify below) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

FRAMINGHAM, MAÂ 01702

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

By the Edward & Mona Zander Common Stock 36,000 (1) Ι Living Trust u/a dtd 04/19/93 (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

Conversion or Exercise

Ownership Form of Ownership

6. Nature of Indirect Beneficial

### Edgar Filing: NETEZZA CORP - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Series A Convertible Preferred Stock	(3)	(4)	Common Stock	100,000 (3)	\$ (3)	D	Â
Nonstatutory Stock Option (right to buy)	(5)	08/10/2014	Common Stock	80,000 (1)	\$ 0.78 (1)	D	Â
Nonstatutory Stock Option (right to buy)	(6)	02/14/2014	Common Stock	50,000 (1)	\$ 6.7 (1)	D	Â

# **Reporting Owners**

	Relationships				
Reporting Owner Name / Address	10%		Officer	Other	
ZANDER EDWARD J C/O NETEZZA CORPORATION 200 CROSSING BOULEVARD FRAMINGHAM Â MAÂ 01702	ÂX	Â	Â	Â	

### **Signatures**

/s/ Michael Crowley Michael Crowley (attorney-in-fact for Edward J. Zander)

07/18/2007

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects a one-for-two reverse stock split effected on June 25, 2007.
- (2) Shares held in trust; Edward and Mona Zander are joint trustees.
- (3) Convertible into Common Stock on a one-for-two basis without payment of further consideration. Each share will automatically convert into 0.5 shares of Common Stock upon the closing of the Issuer?s initial public offering.
- (4) Not applicable.
- (5) Immediately.
- (6) Vests as to 12,500 shares on 02/01/2008 and an additional 3,125 shares on 05/01/2008 and at the end of each successive three-month period until 02/01/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2