FRIST THOMAS F JR

Form 4 May 01, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

3235-0287 Number:

OMB APPROVAL

January 31, Expires: 2005

Estimated average burden hours per 0.5 response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Add FRIST THOM	ress of Reporting Person * [AS F JR	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Middle)	HCA INC/TN [(NONE)] 3. Date of Earliest Transaction				
3100 WEST END AVENUE		(Month/Day/Year) 04/29/2009	DirectorX 10% Owner Officer (give title below) Other (specify below)			
	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line)			
NASHVILLE,	TN 37203	·	_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tab	le I - Non	n-D	erivative S	Securi	ities Acqu	uired, Disposed o	f, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac Code (Instr. 8	3)	4. Securit n(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	04/08/2009		G '	V	7,620	D	\$0	15,756	I	Held indirectly through Hercules Holding II, LLC (1) (2)
Common Stock, par value \$0.01 per share	04/29/2009		P		16,123	A	\$ 51.17	2,479,891	I	Held indirectly through Hercules Holding II, LLC by the

Edgar Filing: FRIST THOMAS F JR - Form 4

			Thomas F. Frist, Jr. 2009 GRAT (1)
Common Stock, par value \$0.01 per share	15,756	I	Held indirectly through Hercules Holding II, LLC (1) (2)
Common Stock, par value \$0.01 per share	633,360	I	Held indirectly through Hercules Holding II, LLC by the Thomas F. Frist, Jr. 2008 GRAT (1) (3)
Common Stock, par value \$0.01 per share	16,113	I	Held indirectly through Hercules Holding II, LLC by the Thomas F. Frist GST Tax Exempt Trust (1) (3)
Common Stock, par value \$0.01 per share	45,948	I	Held indirectly through Hercules Holding II, LLC by Patricia C. Frist (1) (2)
Common Stock, par value \$0.01 per share	17,804,125	I	Held indirectly through Hercules Holding II,

Edgar Filing: FRIST THOMAS F JR - Form 4

LLC by Frisco, Inc. (1) (4) Held indirectly Common through Stock, par Hercules value 17,804,125 I Holding II, \$0.01 per LLC by share Frisco Partners (1) (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title o	f 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	e and	8. Price of	9. Nu
Derivativ	e Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration D	Pate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Underl	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities	8		(Instr.	3 and 4)		Owne
	Security				Acquired			`	Í		Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						· ·
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
Topolong of the Tunner Tunner	Director	10% Owner	Officer	Other			
FRIST THOMAS F JR							
3100 WEST END AVENUE		X					
NASHVILLE, TN 37203							

Reporting Owners 3

Signatures

/s/ John M. Franck II, Attorney-in-Fact for Reporting Person

05/01/2009

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Hercules Holding II, LLC holds 91,845,692 shares of the common stock of HCA Inc. Hercules Holding II, LLC has issued one unit per share of HCA Inc. that it owns directly. Hercules Holding II, LLC is held by a private investor group, including affiliates of Bain Capital

- (1) Investors, LLC, Kohlberg Kravis Roberts & Co. L.P. and Merrill Lynch Global Private Equity, and affiliates of HCA, Inc. founder Dr. Thomas F. Frist, Jr. The Reporting Person may be deemed to be a member of a group exercising voting and investment control over the shares of common stock of HCA Inc. held by Hercules Holding II, LLC. However, the Reporting Person disclaims membership in any such group and disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein.
 - Dr. Thomas F. Frist, Jr. ("Dr. Frist") directly owns 15,756 units of Hercules Holding II, LLC and therefore may be deemed to own indirectly the same number of shares of common stock of HCA Inc. by virtue of his membership in Hercules Holding II, LLC. Dr. Frist
- (2) may also be deemed to have indirect beneficial ownership in respect of 45,498 units of Hercules Holding II, LLC, through an indirect pecuniary interest in such units held by his wife, Patricia C. Frist, and therefore may be deemed to own indirectly the same number of shares of common stock of HCA Inc.
 - Dr. Frist is the trustee of (i) the Thomas F. Frist, Jr. 2009 Grantor Retained Annuity Trust, (ii) the Thomas F. Frist, Jr. 2008 Grantor Retained Annuity Trust, and (iii) the Thomas F. Frist GST Tax Exempt Trust and may be deemed to be the beneficial owner of the 2,479,891 units of Hercules Holding II, LLC held by the Thomas F. Frist, Jr. 2009 Grantor Retained Annuity Trust, the 633,360 units of
- (3) 4.479,891 units of Hercules Holding II, LLC held by the Thomas F. Frist, Jr. 2009 Grantor Retained Annuity Trust, and the 16,113 units of Hercules Holding II, LLC held by the Thomas F. Frist GST Tax Exempt Trust and therefore may be deemed to own indirectly the same number of shares of common stock of the Issuer.
 - Dr. Frist may also be deemed to share voting and dispositive power with respect to 17,804,125 shares of HCA Inc. beneficially owned by Frisco, Inc., by virtue of his position as a director of Frisco, Inc. and with respect to 17,804,125 shares of HCA Inc. beneficially owned by
- (4) Frisco Partners, by virtue of his position as a partner of Frisco Partners. Frisco Inc. and Frisco Partners each have beneficial ownership of 17,804,125 units of Hercules Holding II, LLC, and therefore may be deemed to own indirectly the same number of shares of common stock of HCA Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4