bluebird bio, Inc. Form 3 June 18, 2013

## FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Mulder Geert-Jan

U.A., PO BOX 5187

(Last)

(First)

(Street)

C/O CO?PERATIVE AAC LS

(Middle)

Statement

(Month/Day/Year)

06/18/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

bluebird bio, Inc. [BLUE]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

\_X\_\_ Director Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

NAARDEN, P7Â 1410 AD

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Form: Direct (D)

or Indirect (I) (Instr. 5)

Table I - Non-Derivative Securities Beneficially Owned

Ownership

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Title

(Instr. 4)

1. Title of Derivative Security 2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Date Exercisable

Expiration Date

Amount or Number of Shares

Security: Security Direct (D) or Indirect (I)

						(Instr. 5)	
Series A-1 Convertible Preferred Stock	(1)	(1)	Common Stock	139,395	\$ <u>(1)</u>	I	See footnote (2)
Series A-2 Convertible Preferred Stock	(3)	(3)	Common Stock	331,158	\$ (3)	I	See footnote (2)
Series B Preferred Convertible Preferred Stock	(4)	(4)	Common Stock	561,470	\$ <u>(4)</u>	I	See footnote (2)
Series C Preferred Convertible Preferred Stock	(5)	(5)	Common Stock	70,196	\$ <u>(5)</u>	I	See footnote (2)
Series D Preferred Convertible Preferred Stock	(6)	(6)	Common Stock	52,902	\$ <u>(6)</u>	I	See footnote (2)
Warrant to Purchase Series A-1 Stock (right to buy)	(7)	11/16/2015	Series A-1 Convertible Preferred Stock (8)	14,934	\$ 12.55	I	See footnote (2)
Warrant to Purchase Series A-1 Stock (right to buy)	(7)	10/26/2016	Series A-1 Convertible Preferred Stock (8)	9,956	\$ 12.55	I	See footnote (2)
Warrant to Purchase Series A-1 Stock (right to buy)	(7)	05/03/2017	Series A-1 Convertible Preferred Stock (8)	32,856	\$ 12.55	I	See footnote (2)
Warrant to Purchase Series A-1 Stock (right to buy)	(7)	08/28/2018	Series A-1 Convertible Preferred Stock (8)	7,467	\$ 12.55	I	See footnote (2)
Warrant to Purchase Series A-1 Stock (right to buy)	(7)	12/18/2018	Series A-1 Convertible Preferred Stock (8)	7,467	\$ 12.55	I	See footnote (2)
Warrant to Purchase Series A-1 Stock (right to buy)	(7)	04/15/2019	Series A-1 Convertible Preferred Stock (8)	14,634	\$ 12.55	I	See footnote (2)
Warrant to Purchase Series B Stock (right to buy)	(7)	04/15/2019	Series B Convertible Preferred Stock (9)	9,091	\$ 6.19	I	See footnote (2)

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Mulder Geert-Jan

C/O CO?PERATIVE AAC LS U.A.

PO BOX 5187

NAARDEN, P7Â 1410 AD

**Signatures** 

Linda Bain, Attorney-in-Fact 06/18/2013

\*\*Signature of Reporting
Person

Date

ÂX

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Series A-1 Convertible Preferred Stock is convertible into Common Stock on an 18.967-for-one basis into the number of shares of (1) Common Stock as shown in column 3 at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.
- (2) The securities are held directly by Cooperative AAC LS U.A. ("Cooperative"). The Reporting Person is a director of Cooperative and disclaims beneficial ownership of all shares held by the foregoing entity except to the extent of his pecuniary interest therein.
- The Series A-2 Convertible Preferred Stock is convertible into Common Stock on an 18.967-for-one basis into the number of shares of (3) Common Stock as shown in column 3 at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.
- The Series B Convertible Preferred Stock is convertible into Common Stock on an 18.967-for-one basis into the number of shares of (4) Common Stock as shown in column 3 at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.
- The Series C Convertible Preferred Stock is convertible into Common Stock on an 18.967-for-one basis into the number of shares of (5) Common Stock as shown in column 3 at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.
- The Series D Convertible Preferred Stock is convertible into Common Stock on an 18.967-for-one basis into the number of shares of (6) Common Stock as shown in column 3 at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.
- (7) This warrant is immediately exercisable.
- (8) Upon completion of the Issuer's initial public offering, this warrant to purchase shares of Series A-1 Convertible Preferred Stock will automatically convert on an 18.967-for-one basis into a warrant to purchase shares of Common Stock.
- (9) Upon completion of the Issuer's initial public offering, this warrant to purchase shares of Series B Convertible Preferred Stock will automatically convert on an 18.967-for-one basis into a warrant to purchase shares of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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