Adamas Pharmaceuticals Inc Form 4

December 03, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287

Number: January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

McClure Natalie Issuer Symbol Adamas Pharmaceuticals Inc (Check all applicable) [ADMS] 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction Director X\_ Officer (give title Other (specify (Month/Day/Year) below) C/O ADAMAS 12/01/2014 SVP, Product Development PHARMACEUTICALS, INC., 2200 POWELL ST, STE 220

2. Issuer Name and Ticker or Trading

(State)

(Zip)

1. Name and Address of Reporting Person \*

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

EMERYVILLE, CA 94608

(City)

| (,)                                  | ()                                   | \ 1 abi   | e I - Non-L                             | perivative s  | Securi | ties Acqu      | irea, Disposea oi  | , or Beneficiali   | y Ownea   |
|--------------------------------------|--------------------------------------|---|---|---|--------|----------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired tion(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or |        |                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 12/01/2014                           |   | Code V<br>M                             | Amount 20,000   | (D)    | Price \$ 1.755 | (Instr. 3 and 4)<br>20,840 (1)   | D  |   |
| Common<br>Stock                      | 12/01/2014                           |   | S                                       | 20,000  | D      | \$ 14.5        | 840 (1)  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table I. Non-Desiration Constitute Assuring Dispersed of an Desirable Constitution

#### Edgar Filing: Adamas Pharmaceuticals Inc - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed (D) (Instr. 3, 4, and 5) | Expiration I (Month/Day | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|---|---|-------------------------|--|-----------------|---|--|
|   |   |   |   | Code V                                  | (A) (D)   | Date<br>Exercisable     | Expiration<br>Date                                       | Title           | Amount<br>or<br>Number<br>of Shares                                 |  |
| Stock Option (Right to Buy)                         | \$ 1.755  | 12/01/2014                              |   | M                                       | 20,000  | (2)                     | 03/03/2020   | Common<br>Stock | 20,000  |  |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McClure Natalie C/O ADAMAS PHARMACEUTICALS, INC. 2200 POWELL ST, STE 220

SVP, Product Development

## **Signatures**

EMERYVILLE, CA 94608

/s/John Tran, as attorney-in-fact 12/03/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.

The Option shares vested according to the following schedule: one-fifth (1/5th) of the shares vest one year after January 4, 2010; the balance of the shares vest in a series of forty-eight (48) successive equal monthly installments measured from the first anniversary of January 4, 2010, subject to Reporting Person's Continuous Service (as defined in the Issuer's 2007 Stock Plan) as of each such date. The Option shares are subject to an early exercise right and may be exercised in full prior to vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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