Edgar Filing: ARCA biopharma, Inc. - Form 4

| ARCA bioph | arma, Inc. | | | | | | | | | |
|--|--|--------------------|---|--|---|---------------------------|--|--|--------------------------|--|
| Form 4 March 02, 20 | 115 | | | | | | | | | |
| , | | | | | | | | OMB AF | PROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | |
| Check thi if no long subject to Section 10 Form 4 or | er STATEN 6. | SECUR | ITIES | | | NERSHIP OF | Expires: Estimated a burden hour response | | | |
| Form 5 obligatior may conti <i>See</i> Instru 1(b). | inue. Section 17(a | a) of the Publi | | ling Con | npany | y Act of | e Act of 1934, 1935 or Section 0 | 1 | | |
| (Print or Type R | Responses) | | | | | | | | | |
| 1. Name and A Selby Brian | Issuer Name and bol CA biopharm | | | 0 | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
| | (First) (N PHARMA, INC., INT ROAD, SUI | (Mor 11080 03/(| ate of Earliest Tr nth/Day/Year) 02/2015 | ansaction | | | Director X Officer (give below) | 10% |) Owner r (specify | |
| | | | Amendment, Da l(Month/Day/Year | - | l | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| WESTMINS | STER, CO 80020 | | | | | | Form filed by M Person | | | |
| (City) | (State) | (Zip) | Table I - Non-D | Derivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | 3. e, if Transactio Code ear) (Instr. 8) | 4. Securi on(A) or Di (Instr. 3, | ties Ad sposed 4 and (A) or | cquired d of (D) 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of | |
| Common Stock | 03/02/2015 | | S S | Amount 1,125 (1) | (D) D | Price \$ 0.711 | 33,441 <u>(2)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | ; | Date | Amou Unde Secur | le and unt of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr |
|---|---|---|---|--------------------------------------|---|---------------------|--------------------|-----------------------|---|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|--|------------|---------------|-----------|-------------|-------|--|--|--|
| | | Director | 10% Owner | Officer | Other | | | |
| Selby Brian L. ARCA BIOPHARMA, INC. 11080 CIRCLEPOINT ROAD, SUITE 140 WESTMINSTER, CO 80020 | | | | VP, Finance | | | | |
| Signatures | | | | | | | | |
| /s/ Brian L. Selby | 03/02/2015 | | | | | | | |

<u>**</u>Signature of Reporting Person

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Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares of common stock sold pursuant to a Rule 10b5-1 Plan adopted by the Reporting Person with respect to the vesting of (1) previously reported Restricted Stock Units ("RSUs") granted to the Reporting Person under the Issuer's 2013 Equity Incentive Plan (the "Plan").

Includes (i) remaining 6,666 previously reported shares issued as RSUs under the Plan that vest in two equal annual installments on 9/17/15 and 9/17/16, (ii) 3,375 previously reported shares issued as RSUs under the Plan that vest in three equal annual installments

(2) beginning on 2/27/16, and (iii) 23,400 previously reported shares issued as RSUs under the Plan that vest in three equal annual installments beginning April 2, 2016, provided that the Reporting Person's continuous service to the Issuer has not been terminated as defined under the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.