Adamas Pharmaceuticals Inc Form 4

July 09, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
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**OMB APPROVAL** 

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\_X\_ Form filed by More than One Reporting

Person

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MDV IX LP Issuer Symbol Adamas Pharmaceuticals Inc (Check all applicable) [ADMS] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X\_\_ 10% Owner Officer (give title Other (specify (Month/Day/Year) below) C/O MOHR DAVIDOW 07/07/2015 VENTURES, 3000 SAND HILL ROAD, SUITE 3-290 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person

MENLO PARK, CA 94025

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Transactionr Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price MDV IX. L.P., as nominee \$ Common for MDV  $S^{(1)}$ 27.5452 07/07/2015 299,749 25,737 D I Stock IX. L.P., (2) and MDV ENF IX. L.P. (3)Common 07/08/2015  $S^{(1)}$ D I MDV IX. 3.100 \$ 27.551 296,649 Stock (4) L.P., as

|                 |           |   | nominee<br>for MDV<br>IX, L.P.,<br>and MDV<br>ENF IX,<br>L.P. (3)<br>MDV VII<br>LP, nom<br>for MDV<br>VII LP,<br>MDV VII |
|-----------------|-----------|---|--|
| Common<br>Stock | 3,772,686 | I | Leaders' Fund LP, MDV ENF VII (A) LP, and MDV ENF VII (B) LP (5)   |
| Common<br>Stock | 265,150   | I | MDV<br>VII, L.P.   |
| Common<br>Stock | 6,043     | I | MDV<br>ENF VII<br>(A), L.P.  |
| Common<br>Stock | 3,146     | I | MDV<br>ENF VII<br>(B), L.P.  |
| Common<br>Stock | 25,661    | I | MDV VII<br>Leaders'<br>Fund,<br>L.P. (5)   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.        | 6. Date Exercisable and | 7. Title and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|-----------|-------------------------|--------------|-------------|-------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber  | Expiration Date         | Amount of    | Derivative  | Deriv |
| Security    | or Exercise |                     | any                | Code       | of        | (Month/Day/Year)        | Underlying   | Security    | Secui |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ | e                       | Securities   | (Instr. 5)  | Bene  |

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| Derivative<br>Security |      |   | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     |                     |                    | (Instr | . 3 and 4)                             |
|------------------------|------|---|---|-----|---------------------|--------------------|--------|--|
|                        | Code | V | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |

Owner Follo Repo Trans (Instr

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|
| seponing of the state of state of  | Director      | 10% Owner | Officer | Other |  |  |  |
| MDV IX LP<br>C/O MOHR DAVIDOW VENTURES<br>3000 SAND HILL ROAD, SUITE 3-290<br>MENLO PARK, CA 94025 |               | X         |         |       |  |  |  |
| Seventh MDV Partners, L.L.C.<br>3000 SAND HILL ROAD<br>SUITE 3-290<br>MENLO PARK, CA 94025         |               | X         |         |       |  |  |  |
| Ninth MDV Partners, L.L.C.<br>3000 SAND HILL ROAD<br>SUITE 3-290<br>MENLO PARK, CA 94025           |               | X         |         |       |  |  |  |
| FEIBER JONATHAN D<br>3000 SAND HILL ROAD<br>SUITE 3-290<br>MENLO PARK, CA 94025                    |               | X         |         |       |  |  |  |
| SCHOENDORF NANCY J<br>3000 SAND HILL ROAD<br>SUITE 3-290<br>MENLO PARK, CA 94025                   |               | X         |         |       |  |  |  |
| MDV ENF VII (A), L.P.<br>3000 SAND HILL ROAD<br>SUITE 3-290<br>MENLO PARK, CA 94025                |               | X         |         |       |  |  |  |
| MDV ENF VII (B), L.P.<br>3000 SAND HILL ROAD<br>SUITE 3-290<br>MENLO PARK, CA 94025                |               | X         |         |       |  |  |  |
| MDV VII Leaders' Fund, L.P.<br>3000 SAND HILL ROAD   |               | X         |         |       |  |  |  |

Reporting Owners 3

SUITE 3-290 MENLO PARK, CA 94025

MDV VII LP C/O MOHR DAVIDOW VENTURES 3000 SAND HILL ROAD, SUITE 3-290 MENLO PARK, CA 94025

X

### **Signatures**

Brett A. Teele 07/09/2015

\*\*Signature of Date

Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by MDV IX on December 15, 2014.
- Price reflected is the weighted-average sale price for shares sold. The range of the sale prices for the transaction was \$27.50 and \$27.84 (2) per share. The Reporting Person undertakes to provide, upon request by the SEC staff, the Issuer, or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
  - Ninth MDV Partners, L.L.C. is the general partner of MDV IX, L.P., as nominee for MDV IX, L.P. and MDV ENF IX, L.P. (collectively, "MDV IX"). William Ericson ("Ericson") and Jonathan Feiber ("Feiber") are Managing Members of Ninth MDV Partners, L.L.C. Ericson
- (3) and Feiber may be deemed to share voting and dispositive power over the shares held by MDV IX. Each Reporting Person disclaims beneficial ownership of the shares held by MDV IX except to the extent of any pecuniary interest therein. Ericson is a director of the Issuer and, accordingly, files separate Section 16 reports.
- Price reflected is the weighted-average sale price for shares sold. The range of the sale prices for the transaction was \$27.50 and \$27.72 (4) per share. The Reporting Person undertakes to provide, upon request by the SEC staff, the Issuer, or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
  - Seventh MDV Partners, L.L.C. is the general partner of (i) MDV VII, L.P., as nominee for MDV VII, L.P., MDV ENF VII(A), L.P., MDV ENF VII(B), L.P., and MDV VII Leaders' Fund, L.P., (ii) MDV VII, L.P., (iii) MDV ENF VII(A), L.P., (iv) MDV ENF VII(B), L.P., and (v) MDV VII Leaders' Fund, L.P. (collectively, "MDV VII"). Feiber and Nancy Schoendorf ("Schoendorf") are Managing
- (5) Members of Seventh MDV Partners, L.L.C. Feiber and Schoendorf may be deemed to share voting and dispositive power over the shares held by MDV VII. Each Reporting Person disclaims beneficial ownership of the shares held by MDV VII except to the extent of any pecuniary interest therein. Ericson, a general partner with Mohr Davidow Ventures, may be deemed to indirectly beneficially own the shares affiliated with MDV VII. Ericson is a director of the Issuer and, accordingly, files separate Section 16 reports.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4