**Energous Corp** Form 4 February 19, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

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Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Johnston Cesar

(First) (Middle)

3590 NORTH FIRST STREET,

**SUITE 210** 

(Street)

2. Issuer Name and Ticker or Trading Symbol

Energous Corp [WATT]

3. Date of Earliest Transaction

12/31/2015

(Month/Day/Year)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title below) below)

Senior VP of Engineering

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN JOSE, CA 95134

(City)	(State) (Z	Zip) Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	•			ties l (A) c		5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Nature of Indirect Beneficial
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	1			Owned Following Reported Transaction(s)	(D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	02/17/2016		A	1,520 (1)	A	\$0	37,740	D	
Common Stock	02/19/2016		M	6,250 (2)	A	\$0	43,990	D	
Common Stock	02/19/2016		M	1,500 (3)	A	\$0	45,490	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Numb orDerivati Securitie Acquired Disposed (Instr. 3,	ve es d (A) or d of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Share Units	<u>(4)</u>	12/31/2015		A	1,520		(5)	(5)	Common Stock	1,520
Restricted Stock Units	<u>(6)</u>	02/19/2016		M		6,250	<u>(7)</u>	<u>(7)</u>	Common Stock	6,250
Restricted Stock Units	<u>(6)</u>	02/19/2016		M		1,500	(8)	(8)	Common Stock	1,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
. 9	Director	10% Owner	Officer	Other			
Johnston Cesar 3590 NORTH FIRST STREET, SUITE 210 SAN JOSE, CA 95134			Senior VP of Engineering				

# **Signatures**

/s/ Cesar Johnston by Patrick J. Rogers, attorney-in-fact

02/19/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Represents shares of common stock received upon vesting of a performance share unit award granted on May 21, 2015 pursuant to the Company's 2015 Performance Share Unit Plan and partially earned on December 31, 2015 based on the satisfaction of certain
- performance-based vesting requirements. The performance share units vested on December 31, 2015 and the shares of common stock were delivered on February 17, 2016.
- (2) Represents shares of common stock received upon vesting of a restricted stock unit award that partially vested on February 16, 2016 and settled on February 19, 2016.
- (3) Represents shares of common stock received upon vesting of a restricted stock unit award that vested on May 31, 2015 and settled on February 19, 2016.

Reporting Owners 2

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- (4) Each performance share unit represents a contingent right to receive one share of common stock.
- Represents a performance share unit award granted on May 21, 2015 pursuant to the Company's 2015 Performance Share Unit Plan and partially earned on December 31, 2015 based on the satisfaction of certain performance-based vesting requirements. The performance share units vest on December 31, 2018.
- (6) Each restricted stock unit represents a contingent right to receive one share of common stock.
  - Represents a restricted stock unit award granted on December 17, 2015 that partially vested on February 16, 2016 and settled on February
- (7) 19, 2016. The restricted stock unit award vests as follows: one-half on February 16, 2016 and the remaining one-half on October 22, 2016.
- (8) Represents a restricted stock unit award granted on May 21, 2015 that vested on May 31, 2015 and settled on February 19, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.