**Energous Corp** Form 4 February 19, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Leabman Michael Aaron

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Energous Corp [WATT]

(Check all applicable)

3590 NORTH FIRST STREET,

(First)

(Street)

(State)

**SUITE 210** 

(City)

(Last)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director

10% Owner Other (specify

(Middle)

(Zip)

12/31/2015

X\_ Officer (give title below) Chief Technology Officer

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person n Dominative Securities Assumed Disposed of an Boneficially O

SAN JOSE, CA 95134

(- 3)	(*******)	Table	: 1 - Non-De	erivative Securities	s Acquirea, Disposea	oi, or Beneficial	ly Ownea
1.Title of Security	2. Transaction Date (Month/Day/Year)		3. Transactio	4. Securities onAcquired (A) or	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)	(Mondin Buy, Tear)	any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5) (A)	Following Reported	Indirect (I) (Instr. 4)	Ownership (Instr. 4)
			Code V	or	Transaction(s) (Instr. 3 and 4)		
Common Stock	02/17/2016		A	760 <u>(1)</u> A \$	0 80,995	D	
Common Stock	02/19/2016		M	6,250 A \$	8 0 87,245	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Share Units	(3)	12/31/2015		A	760		<u>(4)</u>	<u>(4)</u>	Common Stock	760
Restricted Stock Units	<u>(5)</u>	02/19/2016		M		6,250	<u>(6)</u>	<u>(6)</u>	Common Stock	6,250

## **Reporting Owners**

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	
Leabman Michael Aaron 3590 NORTH FIRST STREET, SUITE 210 SAN JOSE, CA 95134	X		Chief Technology Officer		

#### **Signatures**

/s/ Michael Aaron Leabman by Patrick J. Rogers, attorney-in-fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares of common stock received upon vesting of a performance share unit award granted on May 21, 2015 pursuant to the Company's 2015 Performance Share Unit Plan and partially earned on December 31, 2015 based on the satisfaction of certain performance-based vesting requirements. The performance share units vested on December 31, 2015 and the shares of common stock were delivered on February 17, 2016.

02/19/2016

- (2) Represents shares of common stock received upon vesting of a restricted stock unit award that partially vested on February 16, 2016 and settled on February 19, 2016.
- (3) Each performance share unit represents a contingent right to receive one share of common stock.
- Represents a performance share unit award granted on May 21, 2015 pursuant to the Company's 2015 Performance Share Unit Plan and (4) partially earned on December 31, 2015 based on the satisfaction of certain performance-based vesting requirements. The performance share units vest on December 31, 2018.
- (5) Each restricted stock unit represents a contingent right to receive one share of common stock.

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Represents a restricted stock unit award granted on December 17, 2015 that partially vested on February 16, 2016 and settled on February 19, 2016. The restricted stock unit award vests as follows: one-half on February 16, 2016 and the remaining one-half on October 22, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.