Edgar Filing: PIMCO Dynamic Credit & Mortgage Income Fund - Form 3

PIMCO Dynamic Credit & Mortgage Income Fund

Form 3

January 11, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

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Estimated average burden hours per

SECURITIES

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

(Print or Type Responses)

1. Name and Address of Reporting

Person *

 STRACKE THIBAULT CHRISTIAN

(Last)

(First)

(Middle)

Statement

(Month/Day/Year) 01/01/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

PIMCO Dynamic Credit & Mortgage Income Fund [PCI]

C/O PIMCO, 650 NEWPORT

CENTER DRIVE

(Street)

Director Officer

Person(s) to Issuer

4. Relationship of Reporting

(Check all applicable)

SEE REMARKS

10% Owner X_ Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person

5. If Amendment, Date Original

Form filed by More than One

Reporting Person

NEWPORT BEACH, CAÂ 92660

(City)

(State)

(Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Form: Direct (D)

Table I - Non-Derivative Securities Beneficially Owned

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security**

5. 4 Conversion Ownership or Exercise Form of Derivative Price of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Date **Expiration Title** Exercisable Date

Amount or Number of Derivative Security: Security Direct (D)

1

Shares

or Indirect
(I)
(Instr. 5)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

STRACKE THIBAULT CHRISTIAN C/O PIMCO 650 NEWPORT CENTER DRIVE NEWPORT BEACH, CAÂ 92660

Â Â SEE REMARKS

Signatures

/s/ Joel Reynolds, Attorney-in-Fact for Thibault Christian Stracke

01/10/2017

**Signature of Reporting Person

Date

Explanation of Responses:

No securities are beneficially owned

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

Pacific Investment Management Company LLC ("PIMCO") is the investment adviser of the Issuer. Th Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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