Opko Health, Inc. Form 4 April 14, 2017

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Opko Health, Inc. [OPK]

Symbol

(Print or Type Responses)

1. Name and Address of Reporting Person \*

FROST PHILLIP MD ET AL

	(Lost)	(Einst)	(MEddle)	2.0.	T. 1				(Chee	k an applicable	·)
(Last) (First) (Middle)  OPKO HEALTH, INC., 4400  BISCAYNE BLVD.			3. Date of Earliest Transaction (Month/Day/Year) 04/13/2017					_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) below) CEO & Chairman			
(Street)					4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person		
	MIAMI, FL	33137							_X_ Form filed by More than One Reporting Person		
	(City)	(State)	(Zip)	Tabl	e I - Non-D	)erivative	Secui	rities Acq	uired, Disposed of	, or Beneficial	ly Owned
	1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Executio any		3. Transactic Code (Instr. 8)	(Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Common Stock								3,068,951	D	
	Common Stock	04/13/2017			P	500	A	\$ 7.52	160,991,943	I	See Footnote (1)
	Common Stock	04/13/2017			P	300	A	\$ 7.525	160,992,243	I	See Footnote (1)
	Common Stock	04/13/2017			P	3,000	A	\$ 7.53	160,995,243	I	See Footnote (1)

**OMB APPROVAL** 

3235-0287

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5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

Estimated average

burden hours per

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Common Stock	04/13/2017	P	1,000	A	\$ 7.54	160,996,243	I	See Footnote
Common Stock	04/13/2017	P	1,000	A	\$ 7.55	160,997,243	I	See Footnote (1)
Common Stock	04/13/2017	P	100	A	\$ 7.555	160,997,343	I	See Footnote (1)
Common Stock	04/13/2017	P	3,400	A	\$ 7.56	161,000,743	I	See Footnote
Common Stock	04/13/2017	P	1,300	A	\$ 7.565	161,002,043	I	See Footnote (1)
Common Stock	04/13/2017	P	2,300	A	\$ 7.57	161,004,343	I	See Footnote
Common Stock	04/13/2017	P	2,000	A	\$ 7.58	161,006,343	I	See Footnote
Common Stock	04/13/2017	P	3,033	A	\$ 7.59	161,009,376	I	See Footnote (1)
Common Stock	04/13/2017	P	1,400	A	\$ 7.595	161,010,776	I	See Footnote
Common Stock	04/13/2017	P	7,667	A	\$ 7.6	161,018,443	I	See Footnote (1)
Common Stock	04/13/2017	P	600	A	\$ 7.605	161,019,043	I	See Footnote
Common Stock	04/13/2017	P	3,200	A	\$ 7.61	161,022,243	I	See Footnote (1)
Common Stock						20,091,062	I	See Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amour	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr. :	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date	Expiration		or Namel		
						Exercisable	Date		Number		
				C 1 W	(A) (D)				of		
				Code V	(A) (D)			,	Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
r	Director	10% Owner	Officer	Other				
FROST PHILLIP MD ET AL OPKO HEALTH, INC. 4400 BISCAYNE BLVD. MIAMI, FL 33137	X	X	CEO & Chairman					
Frost Gamma Investments Trust 4400 BISCAYNE BLVD. MIAMI, FL 33137		X						
Signatures								

Phillip Frost, M.D., Individually and as 04/14/2017 Trustee

> \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The securities are held by Frost Gamma Investments Trust, of which Phillip Frost M.D., is the trustee. Frost Gamma L.P. is the sole and exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general
- partner of Frost Gamma, L.P. is Frost Gamma, Inc., and the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost-Nevada Corporation. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- (2) These securities are owned directly by The Frost Group, LLC. Frost Gamma Investments Trust is a principal member of The Frost Group, LLC. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section

Reporting Owners 3

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16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.