Haqq Christopher Form 4 October 17, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response... 0.5

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Add Haqq Christop		ting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol Atara Biotherapeutics, Inc. [ATRA]	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)			
611 GATEWAY BOULEVARD, SUITE 900			(Month/Day/Year) 10/16/2017	Director 10% Owner _X_ Officer (give title Other (specify below)  EVP, R&D & Chief Scientific Of			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
SOUTH SAN FRANCISCO,	CA 94080		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tabl	e I - Non-D	<b>Derivative</b>	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	10/16/2017		Code V $S_{(1)}^{(1)}$	Amount 6,000	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4) 343,305	D	
Stock	10/10/2017		3 <u>~</u>	0,000	ט	14.35	343,303	D	
Common Stock							17,312	I	See footnote (2)
Common Stock							2,688	Ι	See footnote (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: Haqq Christopher - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date A		Amour	nt of	Derivative	De
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Se
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	В
	Derivative				Securities			(Instr.	3 and 4)		O
	Security				Acquired						Fo
					(A) or						Re
					Disposed						Tr
					of (D)						(Iı
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date		or Title Number of			
						Exercisable					
				Code V	(A) (D)				Shares		
				Code v	(A) $(D)$				Silares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Haqq Christopher 611 GATEWAY BOULEVARD **SUITE 900** SOUTH SAN FRANCISCO, CA 94080

EVP, R&D & Chief Scientific Of

### **Signatures**

/s/ Tina Gullotta, Attorney-in-Fact for Christopher 10/17/2017 Hagq

> \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction pursuant to Rule 10b5-1 Plan adopted September 27, 2016.
- (2) The shares are held by the Chris Haqq 2014 GRAT, of which the Reporting Person is trustee.
- (3) The shares are held by The Havenside Trust, of which the Reporting Person is trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

). Ni Deriv Secu 3ene Own

Follo Repo Γrans Insti