## Edgar Filing: PETRARCA STEPHEN M - Form 4

| PETRARCA STE<br>Form 4<br>June 06, 2018   | EPHEN M                        |               |   |   |                        |   |   |   |  |  |           |
|---|--------------------------------|---------------|---|---|------------------------|---|---|---|--|--|-----------|
| FORM 4  |                                |               |   |   |                        |   |   |   | OMB A  | PPROV  | ۹L        |
|   | UNITED                         | STATES        |   | RITIES A<br>shington,                                   |                        |   | COMMISSI  | DN  | OMB<br>Number:   | 3235-0287  |           |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>StateMent of CHANGES IN BENEFICIAL OWNE<br>SECURITIES<br>FOR 100 (a) of the Securities Exchange A<br>Section 16(a) of the Securities Exchange A<br>Section 17(a) of the Public Utility Holding Company Act of 1940<br>30(h) of the Investment Company Act of 1940 |                                |               |   |   |                        | nge Act of 1934<br>of 1935 or Sec   | 4,  | Expires:<br>Estimated<br>burden hou<br>response | urs per  | ry 31,<br>2005<br>0.5                                      |           |
| (Print or Type Respon   | nses)                          |               |   |   |                        |   |   |   |  |  |           |
| 1. Name and Address of Reporting Person <u>*</u><br>PETRARCA STEPHEN M  |                                |               | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>AstroNova, Inc. [ALOT] |   |                        | 5. Relationship of Reporting Person(s) to<br>Issuer   |   |   |  |  |           |
| (Last) (  | (First) (1                     | Middle)       | 3. Date of Earliest Transaction   |   |                        |   | (Check all applicable)  |   |  |  |           |
| C/O ASTRONOVA, INC., 600 E<br>GREENWICH AVENUE  |                                |               | (Month/Day/Year)<br>06/04/2018  |   |                        | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>Vice President |   |   |  |  |           |
|   |                                |               |   | 4. If Amendment, Date Original<br>Filed(Month/Day/Year) |                        |   | <ul> <li>6. Individual or Joint/Group Filing(Check<br/>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting<br/>Person</li> </ul> |   |  |  |           |
| (City) (  | (State)                        | (Zip)         | Tab   | le I - Non-I  | Derivative             | Securities A  | cquired, Dispose  | d of,   | or Beneficia   | lly Owne   | d         |
|   | ansaction Date<br>th/Day/Year) | Execution any | Date, if  | 3.<br>Transaction<br>Code<br>(Instr. 8)<br>Code V       | Disposed<br>(Instr. 3, | (A) or<br>of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)  | Fc<br>(D<br>(I)                                 | Ownership<br>orm: Direct<br>o) or Indirect<br>hstr. 4) | 7. Nature<br>Indirect<br>Benefici<br>Ownersh<br>(Instr. 4) | al<br>1ip |
| Reminder: Report on   | a separate line                | for each cla  | ass of sec  | urities benef   | ficially ow            | ned directly  | or indirectly.  |   |  |  |           |
|   |                                |               |   |   | inforr<br>requi        | nation cont<br>red to resp<br>ays a curre   | spond to the col<br>tained in this for<br>ond unless the f<br>ntly valid OMB o  | rm a<br>iorm                                    | re not   | SEC 1474<br>(9-02)   |           |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5. Number of | 6. Date Exercisable and Expiration | 7. Title and Amo |
|-------------|-------------|---------------------|--------------------|-----------|--------------|------------------------------------|------------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti | orDerivative | Date                               | Underlying Secur |
| Security    | or Exercise |                     | any                | Code      | Securities   | (Month/Day/Year)                   | (Instr. 3 and 4) |

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| (Instr. 3)                                | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8) | Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |                       |                       |                 |                        |
|---|------------------------------------|------------|------------------|------------|--|-----------------------|-----------------------|-----------------|------------------------|
|   |                                    |            |                  | Code V     | (A) (D)  | Date Exercisable      | Expiration Date       | Title           | An<br>or<br>Nu<br>of S |
| Stock<br>Option<br>(Right to<br>Purchase) | \$ 18.25                           | 06/04/2018 |                  | A          | 10,500   | 06/04/2018 <u>(1)</u> | 06/04/2028            | Common<br>Stock | 10                     |
| Restricted<br>Stock Unit                  | \$ 0 <u>(2)</u>                    | 06/04/2018 |                  | А          | 3,500  | 06/04/2018 <u>(1)</u> | 06/04/2018 <u>(1)</u> | Common<br>Stock | 3.                     |

## **Reporting Owners**

| Reporting Owner Name / Address   |          |               |                |       |  |  |
|--|----------|---------------|----------------|-------|--|--|
|  | Director | 10% Owner     | Officer        | Other |  |  |
| PETRARCA STEPHEN M<br>C/O ASTRONOVA, INC.<br>600 E GREENWICH AVENUE<br>W WARWICK, RI 02893 |          |               | Vice President |       |  |  |
| Signatures   |          |               |                |       |  |  |
| /s/ Margaret V. Boericke, by pow attorney  | er of    | of 06/06/2018 |                |       |  |  |
| **Signature of Reporting Person  |          |               | Date           |       |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Date indicated is date of grant. Instrument vests in three equal annual installments beginning June 4, 2019.
- (2) Each restricted stock unit represents a contingent right to receive one share of ALOT common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.