Edgar Filing: D'Agostino Vincent - Form 4

| D'Agostino V Form 4 July 03, 2018 | | | | | | | | | | | |
|---|---|--|-----------|-------------------------|----------|------|-----------------|--|--|------------------------|--|
| • | | | | | | | | | OMB AF | PROVAL | |
| FORM | UIIII | ED STATES | | LITIES A Shington, | | | NGE C | OMMISSION | OMB Number: | 3235-0287 | |
| Check thi if no long | | | | | | | Expires: | January 31, | | | |
| subject to Section 1 Form 4 or | 6. r | STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES | | | | | | | Estimated average burden hours per response 0 | | |
| Form 5 obligatior may conti <i>See</i> Instru 1(b). | inue. Section | 17(a) of the | | ility Hold | ling Con | npan | y Act of | e Act of 1934, 1935 or Section 0 | 1 | | |
| (Print or Type R | Responses) | | | | | | | | | | |
| D'Agostino Vincent Sy | | | | Name and | | | - | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) | (Middle) | | Earliest Tra | | | | (Checl | k all applicable | 2) | |
| | | | | Day/Year) | | | | Director 10% Owner X_Officer (give title Other (specify below) EVP, Chief Strategy Officer | | | |
| (Street) 4. If Ar | | | 4. If Ame | nendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | |
| | | | | Month/Day/Year) | | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | | | | ~ | | | | | |
| | . , | - | | | | | _ | uired, Disposed of | | - | |
| 1.Title of Security (Instr. 3) | urity (Month/Day/Year) Execution Date, if | | | (A) or | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial | |
| Common | | | | Code V | Amount | (D) | Price | | | | |
| Stock, par value \$0.01 per share | 07/02/2018 | | | М | 431 | A | \$ 0 <u>(1)</u> | 25,009 | D | | |
| Common Stock, par value \$0.01 per share | 07/02/2018 | | | F | 148 | D | \$ 22.84 | 24,861 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | Derivative | Expiration E (Month/Day | Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price Derivat Securit (Instr. 5 |
|---|---|---|---|---------------------------------------|------------|----------------------------|--------------------|---|--|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | ш | 07/02/2018 | | М | 431 | <u>(1)</u> | <u>(1)</u> | Common Stock, par value \$0.01 per share | 431 | \$ C |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|---------------------|-----------------------------|-------|--|--|--|
| | Director | r 10% Owner Officer | | Other | | | |
| D'Agostino Vincent C/O VERIFONE SYSTEMS, INC. 88 W. PLUMERIA DRIVE SAN JOSE, CA 95134 | | | EVP, Chief Strategy Officer | | | | |
| Signatures | | | | | | | |
| /s/Vincent D'Agostino, by Vik Varm Attorney-in-Fact | na, his | | 07/03/2018 | | | | |
| <u>**</u> Signature of Reporting Pers | son | | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On July 2, 2018, shares of the issuer's common stock underlying these restricted stock units became deliverable without the payment of any consideration and were delivered to the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.