Walsh Jeffrey T. Form 4 September 04, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005 Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

burden hours per response...

Expires:

See Instruction

30(h) of the Investment Company Act of 1940

0.5

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person
Walsh Jeffrey T.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

bluebird bio, Inc. [BLUE]

(Check all applicable)

C/O BLUEBIRD BIO, INC., 60

(First)

(State)

(Zip)

(Middle) (Month/Day/Year)

08/30/2018

3. Date of Earliest Transaction

Director \_X\_\_ Officer (give title \_\_X\_\_ Other (specify

10% Owner

**BINNEY STREET** 

below)

below) Chief Financial / and Strategy Officer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

CAMBRIDGE, MA 02142

Form filed by More than One Reporting Person

rivative Securities Acquired Disposed of or Reneficially Ox

(City)	(State)	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired (A) or or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	08/30/2018		M	8,743	A	\$ 2.0864	44,238	D	
Common Stock	08/30/2018		M	4,007	A	\$ 5.5004	48,245	D	
Common Stock	08/30/2018		M	7,250	A	\$ 24.47	55,495	D	
Common Stock	08/30/2018		S(1)	19,022	D	\$ 175.365 (2)	36,473	D	
Common Stock	08/30/2018		S <u>(1)</u>	978	D	\$ 176.0273	35,495	D	

### Edgar Filing: Walsh Jeffrey T. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

8. I De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 2.0864	08/30/2018		M	8,743	<u>(4)</u>	07/13/2021	Common Stock	8,743
Stock Option (right to buy)	\$ 5.5004	08/30/2018		M	4,007	(5)	01/16/2023	Common Stock	4,007
Stock Option (right to buy)	\$ 24.47	08/30/2018		M	7,250	<u>(6)</u>	03/03/2024	Common Stock	7,250

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
--------------------------------	---------------

Director 10% Owner Officer Other

Walsh Jeffrey T.

C/O BLUEBIRD BIO, INC. 60 BINNEY STREET CAMBRIDGE, MA 02142

Chief Financial and Strategy Officer

## **Signatures**

/s/ Jason F. Cole, Attorney-in-Fact 09/04/2018

\*\*Signature of Reporting Person Date

Reporting Owners 2

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on July 2, 2018.
  - The range of prices for the transaction reported on this line was \$175.00 to \$175.95. The average weighted price was \$175.365. The
- (2) reporting person will provide, upon request by the SEC, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The range of prices for the transaction reported on this line was \$176.00 to \$176.20. The average weighted price was \$176.0273. The reporting person will provide, upon request by the SEC, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) This option vested over a four-year period, at a rate of twenty-five percent (25%) on May 16, 2012 and in 36 equal monthly installments thereafter.
- This option to purchase shares of our common stock was granted on January 16, 2013 with performance-based vesting criteria that were met as of January 1, 2013 and April 1, 2013. The shares underlying these options vested as follows: 25% vested on January 1, 2014 and April 1, 2014, respectively, with the remainder of the shares vesting in equal monthly installments over the following three years.
- (6) This option vested over a four-year period, at a rate of twenty-five percent (25%) on January 1, 2015 and in 36 equal monthly installments thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.