

SCHULTZ PHILIP C.

Form 3

January 14, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

SCHULTZ PHILIP C.

(Last) (First) (Middle)

333 THREE D SYSTEMS
CIRCLE

(Street)

ROCK HILL, SC 29730

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/14/2019

3. Issuer Name and Ticker or Trading Symbol
3D SYSTEMS CORP [DDD]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
EVP, Operations

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

51,800 ⁽¹⁾

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Performance Based Restricted Stock	Â (2)	Â (2)	Common Stock	10,000 (2) \$ (2)		D	Â
Performance Based Restricted Stock	Â (3)	Â (3)	Common Stock	10,000 (3) \$ (3)		D	Â
Performance Based Stock Options (Right to Buy)	Â (4)	09/06/2026	Common Stock	50,000 (4) \$ 15.75		D	Â
Performance Based Stock Options (Right to Buy)	Â (5)	09/06/2026	Common Stock	50,000 (5) \$ 15.75		D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SCHULTZ PHILIP C. 333 THREE D SYSTEMS CIRCLE ROCK HILL,Â SCÂ 29730	Â	Â	Â EVP, Operations	Â

Signatures

/s/ Andrew M. Johnson,
Attorney-in-Fact

01/14/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This amount includes 30,670 shares of restricted stock issued pursuant to the Issuer's 2015 Incentive Plan. Of these restricted shares,
(1) 11,666 restricted shares vest on September 6, 2019; 9,503 restricted shares vest on August 15, 2019; and 9,501 restricted shares vest on August 15, 2020.

The Reporting Person has been awarded 10,000 shares of restricted stock under the Issuer's 2015 Incentive Plan. These shares of
(2) restricted stock vest, if at all, on the later date that is six months after the date of grant and the date that the closing price of a share of the common stock on each trading day during the immediately prior ninety consecutive calendar days is at least \$30.

The Reporting Person has been awarded 10,000 shares of restricted stock under the Issuer's 2015 Incentive Plan. These shares of
(3) restricted stock vest, if at all, on the later date that is six months after the date of grant and the date that the closing price of a share of the common stock on each trading day during the immediately prior ninety consecutive calendar days is at least \$40.

The Reporting Person has been awarded 50,000 options under the Issuer's 2015 Incentive Plan. These options to purchase the shares of
(4) common stock at an exercise price equal to the closing price of the common stock on September 6, 2016 are exercisable, if at all, on the later of the date that is six months after the date of grant and the date that the closing price of a share of the common stock on each of the trading days during the immediately prior ninety consecutive calendar days is at least \$30.

The Reporting Person has been awarded 50,000 options under the Issuer's 2015 Incentive Plan. These options to purchase the shares of
(5) common stock at an exercise price equal to the closing price of the common stock on September 6, 2016 are exercisable, if at all, on the later of the date that is six months after the date of grant and the date that the closing price of a share of the common stock on each of the trading days during the immediately prior ninety consecutive calendar days is at least \$40.

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Remarks:

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Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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