

FOSTER GREG
Form 4
July 05, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
FOSTER GREG

(Last) (First) (Middle)

12582 WEST MILLENNIUM

(Street)

PLAYA VISTA, CA 90094

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
IMAX CORP [IMAX]

3. Date of Earliest Transaction
(Month/Day/Year)
07/02/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title ____ Other (specify
below) below)
CEO IMAX Entertain & ESVP IMAX

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
common shares (opening balance)				(A) or (D)	Price		
			Code	V	Amount		
common shares	07/02/2018		M		11,932 (1)	A	\$ 0 (4) 93,523 D
common shares	07/02/2018		F		6,563 (2)	D	\$ 21.45 86,960 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not**

SEC 1474
(9-02)

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displays a currently valid OMB control
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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number of Shares
restricted share units ⁽³⁾	\$ 0 ⁽⁴⁾	07/02/2018		A	36,713	⁽⁵⁾ 08/01/2021	common shares 30
stock options (to buy)	\$ 22	07/02/2018		A	170,454	⁽⁶⁾ 07/02/2025	common shares 17
restricted share units ⁽³⁾	\$ 0 ⁽⁴⁾	07/02/2018		M	11,932 ⁽¹⁾	07/02/2018 08/01/2020	common shares 1

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
FOSTER GREG 12582 WEST MILLENNIUM PLAYA VISTA, CA 90094	CEO IMAX Entertain & ESVP IMAX

Signatures

Greg Foster 07/04/2018
 **Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion upon vesting of restricted share units into common shares.
- (2) Mr. Foster is reporting the withholding by IMAX Corporation of 6,563 common shares to satisfy his tax withholding obligations in connection with the delivery of the converted common shares.
- (3) Each restricted share unit represents a contingent right to receive one common share of IMAX Corporation.
- (4) Each restricted share unit is the economic equivalent of one common share of IMAX Corporation.
- (5)

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The restricted share units vest and will be converted to common shares in three installments: 12,237 on each of July 2, 2019 and n July 2, 2020 and 12,239 on July 2, 2021. These restricted share units were granted as per Mr. Foster's employment agreement with IMAX Corporation.

- (6) The stock options will become exercisable in three installments: 56,818 on each of July 2, 2019; July 2, 2020; and July 2, 2021. These stock options were granted as per Mr. Foster's employment agreement with IMAX Corporation.
- (7) Mr. Foster's aggregate outstanding option, restricted share unit and common share balances following these transactions will be 874,090; 101,241 and 86,960 respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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