

GELFOND RICHARD L

Form 4

January 03, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GELFOND RICHARD L

(Last) (First) (Middle)

902 BROADWAY, 20TH FLOOR

(Street)

NEW YORK 10010-6002

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

IMAX CORP [IMAX]

3. Date of Earliest Transaction  
(Month/Day/Year)

12/31/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
common shares (opening balance)						235,154	D
common shares	12/31/2018		M		21,761 (1)	A \$ 0	256,915 D
common shares	12/31/2018		F		11,636 (2)	D \$ 18.18	245,279 (3) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not**

SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
restricted share units <sup>(4)</sup>	<sup>(5)</sup>	12/31/2018		M			21,761 <sup>(1)</sup>	12/31/2018	01/31/2020	common shares	2
stock options (to buy)	\$ 18.75	01/02/2019		A		522,979 <sup>(6)</sup>		<sup>(7)</sup>	01/02/2029	common shares opening balance	52

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
GELFOND RICHARD L 902 BROADWAY 20TH FLOOR NEW YORK 10010-6002	Chief Executive Officer

## Signatures

Richard L.  
Gelfond 01/03/2019

          Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents the conversion upon vesting of restricted share units into common shares.

(2) Mr. Gelfond is reporting the withholding of common shares by IMAX Corporation to satisfy his tax withholding obligations in connection with the delivery of common shares upon conversion of the restricted share unit

This represents the number of restricted share units or stock options for this transaction only. Mr. Gelfond's aggregate remaining  
(3) outstanding option, restricted share unit and common share balances following these transaction will be 3,396,343; 65,283 and 245,279 respectively.

(4) Each restricted share unit represents a contingent right to receive one common share of IMAX Corporation.

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- (5) Each restricted share unit is the economic equivalent of one common share of IMAX Corporation.
- (6) The stock options were granted pursuant to Mr. Gelfond's November 2016 employment renewal with IMAX Corporation.
- (7) The stock options become exercisable in three installments: 174,326 on each of May 1, 2019; September 1, 2019 and 174,327 on December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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