

GENERAL ELECTRIC CO
Form 3
October 09, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Heintzelman daniel
 (Last) (First) (Middle)

2. Date of Event Requiring Statement
 (Month/Day/Year)
 10/01/2013

3. Issuer Name and Ticker or Trading Symbol
 GENERAL ELECTRIC CO [GE]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

GENERAL ELECTRIC
COMPANY, 3135 EASTON
TURNPIKE
 (Street)

(Check all applicable)

Director 10% Owner
 Officer Other
 (give title below) (specify below)
 Vice Chairman

FAIRFIELD, CT 06828
 (City) (State) (Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	132,596	D	À
Common Stock	3,742	I	by 401(k)
Common Stock	7	I	By family

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: GENERAL ELECTRIC CO - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Phantom Stock Units	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	33,338	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	5,000	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(4)</u>	Â <u>(4)</u>	Common Stock	6,250	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(5)</u>	Â <u>(5)</u>	Common Stock	40,000	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(6)</u>	Â <u>(6)</u>	Common Stock	45,000	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(7)</u>	Â <u>(7)</u>	Common Stock	40,000	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(8)</u>	Â <u>(8)</u>	Common Stock	150,000	\$ <u>(2)</u>	D	Â
Employee Stock Options (right to buy) <u>(9)</u>	09/17/2005	09/17/2014	Common Stock	42,000	\$ 34.22	D	Â
Employee Stock Options (right to buy) <u>(9)</u>	09/16/2006	09/17/2015	Common Stock	48,000	\$ 34.47	D	Â
Employee Stock Options (right to buy) <u>(9)</u>	09/08/2007	09/08/2016	Common Stock	55,000	\$ 34.01	D	Â
Employee Stock Options (right to buy) <u>(9)</u>	09/07/2008	09/07/2017	Common Stock	62,500	\$ 38.75	D	Â
Employee Stock Options (right to buy) <u>(9)</u>	09/09/2009	09/09/2018	Common Stock	75,000	\$ 28.12	D	Â
Employee Stock Options (right to buy) <u>(9)</u>	03/12/2010	03/12/2019	Common Stock	140,000	\$ 9.57	D	Â
Employee Stock Options (right to buy) <u>(9)</u>	07/23/2010	07/23/2019	Common Stock	400,000	\$ 11.95	D	Â
Employee Stock Options (right to buy) <u>(9)</u>	06/10/2011	06/10/2020	Common Stock	550,000	\$ 15.68	D	Â
Employee Stock Options (right to buy) <u>(9)</u>	06/09/2012	06/09/2021	Common Stock	700,000	\$ 18.58	D	Â
Employee Stock Options (right to buy) <u>(9)</u>	09/07/2013	09/07/2022	Common Stock	700,000	\$ 21.59	D	Â

