### BERRY PETROLEUM CO

Form 4

December 04, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

5. Relationship of Reporting Person(s) to

response... 0.5

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Class A

Stock

Common

12/04/2013

(Print or Type Responses)

1. Name and Address of Reporting Person \*

DUGINSKI MICHAEL  (Last) (First) (Middle)  C/O BERRY PETROLEUM  COMPANY, 1999 BROADWAY,  SUITE 3700			Symbol	or runne una riener or riading	Issuer			
			(Month) 12/04/	of Earliest Transaction (Day/Year) 2013	(Check all applicable)  Director 10% Owner Officer (give title Other (specify below)			
(Street) DENVER, CO 80202				onth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
	(City)	(State)	(Zip) Ta	ble I - Non-Derivative Securities Acqu	ired, Disposed of, or Beneficially Owned			
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price	5. Amount of Securities Ownership Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)			
	Class A Common Stock				Held in 17,456 I 401(k) Plan			
	Class A Common Stock	12/04/2013		M $\frac{1,850}{(5)}$ A \$ 9.97	45,297 D			

1,850

43,447

50.5932

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secur Acqui (A) or	rivative rities ired rosed of . 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and 4
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Nonstatutory Stock Option 11-23-04	\$ 21.58						11/23/2005	11/23/2014	Class A Common Stock
Nonstatutory Stock Option 12-15-05	\$ 30.645						12/15/2006	12/15/2015	Class A Common Stock
Nonstatutory Stock Option 12-15-06	\$ 32.565						12/15/2007	12/14/2016	Class A Common Stock
2007 Restricted Stock Unit (1)	\$ 0 (2)						12/14/2008(3)	12/13/2017(4)	Class A Common Stock
NSO 2007	\$ 43.61						12/14/2008	12/13/2017	Class A Common Stock
2008 Restricted Stock Units (1)	\$ 0 (2)						12/12/2009(3)	12/11/2018(4)	Class A Common Stock
2009 Restricted Stock Units (1)	\$ 0 (2)						12/11/2010(4)	12/11/2019(4)	Class A Common Stock
March 2011 Employee RSU Grant	\$ 0						03/02/2012	03/02/2021	Class A Common Stock
Non-Statutory Stock Option 3-2-2011 -	\$ 48.5						03/02/2012	03/02/2021	Class A Common Stock

#### \$48.50

Perf Based RSU 3-2-2011	\$ 0				12/31/2013	03/02/2021	Class A Common Stock
March 2, 2012 Employee RSU Grant	\$ 0				03/02/2013	03/02/2022	Class A Common Stock
Non Statutory Stock Option 3-2-12	\$ 53.02				03/02/2013	03/02/2022	Class A Common Stock
Perf Based RSUs 3-2-12	\$ 0				12/31/2014	03/02/2022	Class A Common Stock
March 4, 2013 Employee RSU Grant	\$ 0				03/04/2014	03/04/2023	Class A Common Stock
Nonstatutory Stock Option 12-05-03	\$ 9.97	12/04/2013	M	1,850 (5)	12/05/2004	12/05/2013	Class A Common Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Exec. VP & COO

DUGINSKI MICHAEL C/O BERRY PETROLEUM COMPANY 1999 BROADWAY, SUITE 3700 DENVER, CO 80202

**Signatures** 

Kenneth A Olson under POA for Michael

Duginski 12/04/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- **(1)** 1 for 1
- (2) Each RSU represents a contingent right to receive one share of Berry Petroleum Company Class A Common Stock
- (3) The RSU granted vest 25% per year beginning one year after grant but the receipt of shares are subject to a deferral period which is generally at least four years from grant date as per the deferral election.
- (4) The RSU is subject to a deferral election. Shares of Class A Common Stock will be delivered to the reporting person as per the terms of the deferral election.

(5) Options exercised and shares sold under a 10b5-1 Plan established by filer.

Reporting Owners 3

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