PGT, Inc. Form 4 August 12, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

Antonelli Todd A

(Last) (First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

PGT, Inc. [PGTI]

3. Date of Earliest Transaction

(Month/Day/Year) 08/08/2013

C/O PGT INDUSTRIES, INC., 1070 **TECHNOLOGY DRIVE**

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X_ Officer (give title _ Other (specify

below) Vice President

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

NOKOMIS, FL 34275

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common			Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Stock, par value \$0.01 per share	08/08/2013		M	10,436	A	\$ 2.59	10,436	D			
Common stock, par value \$0.01 per share	08/08/2013		F	4,673 (1)	D	\$ 10.39	5,763	D			
Common stock, par value \$0.01 per share	08/08/2013		S	5,763	D	\$ 10.39	0	D			

Common stock, par value \$0.01 per share	08/09/2013	M	69,564	A	\$ 2.59	69,564	D
Common stock, par value \$0.01 per share	08/09/2013	F	31,905 (2)	D	\$ 10.16	37,659	D
Common stock, par value \$0.01 per share	08/09/2013	S	37,659	D	\$ 10.16	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 2.59	08/08/2013		M		80,000	(3)	05/15/2022	Common Stock	80,000

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Othe				
Antonelli Todd A								
C/O PGT INDUSTRIES, INC.			Vice					
1070 TECHNOLOGY DRIVE			President					
NOKOMIS, FL 34275								

Reporting Owners 2

Edgar Filing: PGT, Inc. - Form 4

Signatures

/s/ Todd

Antonelli 08/12/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects 4,673 shares withheld at market price of \$10.39 per share less an exercise price of \$2.59 per share to fund the cashless exercise of 10,436 options owned by Mr. Antonelli and the tax liability associated therewith. Such cashless exercise was in accordance with terms and provisions of the Company's Amended and Restated 2006 Equity Incentive Plan as approved by the Board of Directors.
- Reflects 31,905 shares withheld at market price of \$10.16 per share less an exercise price of \$2.59 per share to fund the cashless exercise of 69,564 options owned by Mr. Antonelli and the tax liability associated therewith. Such cashless exercise was in accordance with terms and provisions of the Company's Amended and Restated 2006 Equity Incentive Plan as approved by the Board of Directors.
- (3) An aggregate of 400,000 options exercisable in three approximately equal annual installments beginning on May 15, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3