PGT, Inc. Form 4 August 22, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

obligations

Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

DRIVE

(Print or Type Responses)

1. Name and Address of Reporting Person * Ferrucci Mario III

2. Issuer Name and Ticker or Trading

Symbol

PGT, Inc. [PGTI]

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(First) PGT, INC., 1070 TECHNOLOGY

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

08/20/2014

Director 10% Owner _X__ Officer (give title . _ Other (specify

below) VP AND GENERAL COUNSEL

(Check all applicable)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

NORTH VENICE, FL 34275

(City)	(State)	(Zip) Tabl	e I - Non-D	Derivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	08/20/2014		Code V M	Amount 25,954 (1)	(D)	Price \$ 2	(Instr. 3 and 4) 55,821	D	
Common Stock, par value \$0.01 per share	08/20/2014		S	25,954 (1)	D	\$ 10.5	29,867	D	
Common Stock, par value \$0.01 per share	08/21/2014		M	24,900 (1)	A	\$ 2	54,767	D	

Common Stock, par value \$0.01 per share	08/21/2014	S	24,900 (1)	D	\$ 10.52	29,867	D
Common Stock, par value \$0.01 per share	08/22/2014	M	46,662 (1)	A	\$ 2	76,529	D
Common Stock, par value \$0.01 per share	08/22/2014	S	46,662 (1)	D	\$ 10.52	29,867	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 2	08/20/2014		M	97,516	<u>(2)</u>	04/06/2020	Common Stock	97,516	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Ferrucci Mario III PGT, INC. 1070 TECHNOLOGY DRIVE NORTH VENICE, FL 34275

VP AND GENERAL COUNSEL

Reporting Owners 2

Signatures

/s/ Mario 08/22/2014 Ferrucci

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transactions reported in this Form 4 were effected on August 20, 21 and 22, 2014 pursuant to a binding Trading Plan executed by the reporting person on June 12, 2014.
- (2) An aggregate of 293,968 options exercisable in five approximately equal annual installments beginning on April 6, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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