Ricotta Dominic Form 4 October 03, 2017

# FORM 4

Form 5

obligations

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Ricotta Dominic			Symbol	Name <b>and</b> E CORP	Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			(check all applicable)				
2000 POST OAK BLVD., STE 100			(Month/Day/Year) 10/01/2017			DirectorX Officer (give below) Sr.	e title Oth below) Vice President	er (specify		
(Street)			4. If Amer	ndment, Dat	te Original	6. Individual or Joint/Group Filing(Check				
HOUSTON,	, TX 77056		Filed(Mon	th/Day/Year)		Applicable Line) _X_ Form filed by Form filed by I Person	One Reporting Po			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative Securities Acq	quired, Disposed o	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	Execution any	on Date, if	Code	4. Securities Acquired on(A) or Disposed of (D)	5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial		

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	10/01/2017 <u>(1)</u>		M	1,666	A	\$ 0	6,769	D		
Common Stock	10/01/2017		F(2)	699	D	\$ 45.8	6,070	D		
Common Stock							5,958.166	I	Held by Trustee 401(k) Plan	
Common Stock							4,522.574	I	Held by Trustee NQ Plan	

#### Edgar Filing: Ricotta Dominic - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)				8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock / Units (3)	\$ 0 (4)	10/01/2017		M	1,666	<u>(1)</u>	<u>(1)</u>	Common Stock	1,666	\$

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sr. Vice President

Ricotta Dominic

2000 POST OAK BLVD. STE 100

HOUSTON, TX 77056

**Signatures** 

Raj Sharma, Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting as of 10/01/2017 of restricted stock units under employer plan. Vesting occurs ratably over three years. Data provided by plan administrator on 10/03/2017.
- (2) Shares withheld to cover required tax withholding on vesting of restricted stock effective as of 10/01/2017. Data provided by plan administrator on 10/03/2017.

(3) With tandem tax withholding right

Reporting Owners 2

### Edgar Filing: Ricotta Dominic - Form 4

(4) One share of Apache common stock for each restricted stock unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.