### Edgar Filing: Kennedy Giulia C - Form 4

| Kennedy Giu   | lia C   |  |  |  |  |  |   |  |  |  |  |
|---|---|--|--|--|--|--|---|--|--|--|--|
| Form 4  |   |  |  |  |  |  |   |  |  |  |  |
| March 05, 20  | 19  |  |  |  |  |  |   |  |  |  |  |
| FORM  | 1   |  |  |  |  | OMB AF   | PROVAL  |  |  |  |  |
|   | UNITED  | STATES                                   |  | <b>RITIES AND EXCHANGE</b> ashington, D.C. 20549   | COMMISSION   | OMB<br>Number:   | 3235-0287   |  |  |  |  |
| Check this  |   |  | -  |  |  | Expires:   | January 31,   |  |  |  |  |
| if no long<br>subject to<br>Section 10<br>Form 4 or | SIAIEN<br>6.  | MENT O                                   | F CHAN   | NGES IN BENEFICIAL OV<br>SECURITIES  | Estimated a burden hour response   |  |   |  |  |  |  |
| may conti   | Form 5<br>obligations<br>may continue.<br>See Instruction<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |  |  |  |  |  |   |  |  |  |  |
| (Print or Type R                                    | esponses)   |  |  |  |  |  |   |  |  |  |  |
| 1. Name and A<br>Kennedy Giu                        | ddress of Reporting<br>ulia C   | Person <sup>*</sup>                      | Symbol   | er Name <b>and</b> Ticker or Trading   | 5. Relationship of I<br>Issuer   | Reporting Pers   | on(s) to  |  |  |  |  |
|   |   |  | VERA   | CYTE, INC. [VCYT]  | (Check   | (Check all applicable)                                       |   |  |  |  |  |
| (Last)  | (First) (A  | Middle)                                  | 3. Date of   | of Earliest Transaction  |  |  |   |  |  |  |  |
| 6000 SHORELINE COURT, SUITE 300                     |   |  | (Month/2<br>03/04/2                                  | Day/Year)<br>2019  | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>Chief Scientific & Med Officer                          |  |   |  |  |  |  |
| (Street)  |   |  | 4. If Amendment, Date Original Filed(Month/Day/Year) |  | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>X_ Form filed by One Reporting Person</li> </ul> |  |   |  |  |  |  |
| SOUTH SAI   | N<br>O, CA 94080  |  |  |  | Form filed by M<br>Person  |  |   |  |  |  |  |
| (City)  | (State)   | (Zip)                                    | Tab  | ble I - Non-Derivative Securities A  | cquired, Disposed of,  | or Beneficiall   | y Owned   |  |  |  |  |
|   | 2. Transaction Date<br>(Month/Day/Year)   | 2A. Deem<br>Execution<br>any<br>(Month/D | Date, if   | 3. 4. Securities Acquired (<br>Transaction Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>(Instr. 8) | A) 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported  | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |  |  |

|                 |                         | Code V | Amount | (A)<br>or<br>(D) | Price                | Transaction(s)<br>(Instr. 3 and 4) | (Instr. 4) |  |
|-----------------|-------------------------|--------|--------|------------------|----------------------|------------------------------------|------------|--|
| Common<br>Stock | <sup>n</sup> 03/04/2019 | М      | 17,625 | А                | \$ 5.98              | 66,249                             | D          |  |
| Common<br>Stock | <sup>n</sup> 03/04/2019 | S      | 17,625 | D                | \$<br>20.2388<br>(1) | 48,624                             | D          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number of<br>TransactiorDerivative<br>Code Securities<br>(Instr. 8) Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |         | a Date, if TransactiorDerivative Expiration Date<br>Code Securities (Month/Day/Year)<br>Pay/Year) (Instr. 8) Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4, |                    | ate             | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8<br>I<br>S<br>(, |
|---|---|---|---|--|---------|---|--------------------|-----------------|---|--|-------------------|
|   |   |   |   | Code V   | (A) (D) | Date<br>Exercisable   | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares                                 |  |                   |
| Stock<br>Option<br>(right to<br>buy)                | \$ 5.98   | 03/04/2019                              |   | М  | 17,625  | (2)   | 03/01/2028         | Common<br>Stock | 17,625  |  |                   |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                                |       |  |  |  |
|--|---------------|-----------|--------------------------------|-------|--|--|--|
|  | Director      | 10% Owner | Officer                        | Other |  |  |  |
| Kennedy Giulia C<br>6000 SHORELINE COURT<br>SUITE 300<br>SOUTH SAN FRANCISCO, CA 94080 |               |           | Chief Scientific & Med Officer |       |  |  |  |
| Signatures   |               |           |                                |       |  |  |  |
| /s/ Keith Kennedy as attorney-in-fact  | 03/05/2       | 019       |                                |       |  |  |  |

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents weighted average sales price. Sale prices for the transactions range from \$20.00 to \$20.77. Detailed information regarding the number of shares sold at each separate price will be provided to the SEC, the issuer or a shareholder upon request.
- (2) The option became exercisable as to 25% of the shares on March 2, 2019, and the remaining shares vest at a rate of 1/48th of the total number of shares subject to the award for each month of continuous service thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.