### Edgar Filing: GOMEZ HORTENSIA R - Form 3/A

#### GOMEZ HORTENSIA R

Form 3/A

November 18, 2009

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FARMER BROTHERS CO [FARM] À GOMEZ HORTENSIA R (Month/Day/Year) 03/20/2009 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2021 VOORHEES AVENUE 03/23/2009 (Check all applicable) **UNIT A** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Vice President and Controller Person **REDONDO** Form filed by More than One BEACH, CAÂ 90278 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â 300 (1) D Common Stock Common Stock 300 (2) D Common Stock 129 I Trustee of a living trust Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect (Instr. 4) Expiration Date (Month/Day/Year) Securities Underlying Conversion Ownership

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	Date Exercisable	Expiration Date	Derivative Se (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock Option (to acquire common stock)	(3)	02/20/2015	Common Stock	3,000	\$ 22.7	D	Â
Stock Option (to acquire common stock)	(3)	12/11/2015	Common Stock	3,000	\$ 21.76	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
GOMEZ HORTENSIA R 2021 VOORHEES AVENUE UNIT A	Â	Â	Vice President and	Â		
REDONDO BEACH, CA 90278			Controller			

## **Signatures**

Hortensia R.

Gomez

\*\*Signature of Reporting Person

11/18/2009

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of restricted stock under the 2007 Omnibus Plan. Will vest on February 20, 2011.
- (2) Grant to reporting person of restricted stock under the 2007 Omnibus Plan. Will vest on December 11, 2001.
- (3) Stock options vest ratably over three years from grant.

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#### **Remarks:**

This Form 3/A is being filed to amend the Form 3 filed with the SEC on March 23, Â 2009 Â to Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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