#### KISSINGER THOMAS F

Form 4

February 28, 2019

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires:

**OMB APPROVAL** 

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2005

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obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* KISSINGER THOMAS F

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

MARCUS CORP [MCS]

(Check all applicable)

(Last)

(City)

(First)

3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

02/26/2019

Director X\_ Officer (give title

10% Owner Other (specify

THE MARCUS

CORPORATION, 100 E.

**WISCONSIN AVE., SUITE 1900** 

below) Sr Exec VP, Gen Counsel & Secy

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MILWAUKEE, WI 53202

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

D

Common Stock

84,914

Common Stock

518.324 (1) I By Plan (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

### Edgar Filing: KISSINGER THOMAS F - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)          | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |   | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                    |                 |                                     |  |
|--|---|---|---|---|---|--|-----|---|--------------------|-----------------|-------------------------------------|--|
|  |   |   |   | Code '  | V | (A)  | (D) | Date<br>Exercisable   | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |  |
| Stock<br>Option<br>(right to<br>buy)<br>(granted<br>2/26/19) | \$ 41.9   | 02/26/2019                              |   | A   |   | 23,400   |     | (3)   | 02/26/2029         | Common<br>Stock | 23,400                              |  |
| Stock<br>Option<br>(right to<br>buy)<br>(granted<br>7/29/14) | \$ 18.34  |   |   |   |   |  |     | (3)   | 07/29/2024         | Common<br>Stock | 15,683                              |  |
| Stock<br>Option<br>(right to<br>buy)<br>(granted<br>7/28/15) | \$ 20.26  |   |   |   |   |  |     | <u>(3)</u>  | 07/28/2025         | Common<br>Stock | 19,300                              |  |
| Stock<br>Option<br>(right to<br>buy)<br>(granted<br>3/1/16)  | \$ 18.68  |   |   |   |   |  |     | (3)   | 03/01/2026         | Common<br>Stock | 12,300                              |  |
| Stock<br>Option<br>(right to<br>buy)<br>(granted<br>2/28/17) | \$ 31.2   |   |   |   |   |  |     | <u>(3)</u>  | 02/28/2027         | Common<br>Stock | 17,000                              |  |
| Stock<br>Option<br>(right to<br>buy)<br>(granted             | \$ 27   |   |   |   |   |  |     | (3)   | 02/27/2028         | Common<br>Stock | 24,400                              |  |

2/27/18)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KISSINGER THOMAS F THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202

Sr Exec VP, Gen Counsel & Secy

### **Signatures**

/s/ Steven R. Barth, Attorney-in-Fact for Thomas F. Kissinger

02/28/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance reflects the reporting person's holdings in The Marcus Corporation Dividend Reinvestment and Associate Stock Purchase Plan as of February 20, 2019.
- (2) By Dividend Reinvestment and Associate Stock Purchase Plan.
- The options originally granted vest and become exercisable as follows: 40% after 2nd anniversary of the date of grant; 60% after 3rd anniversary; 80% after 4th anniversary; and 100% after 5 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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